

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply: Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation DORIS DUKE CHARITABLE FOUNDATION	A Employer identification number 13-7043679
	Number and street (or P.O. box number if mail is not delivered to street address) Room/suite 650 FIFTH AVENUE, 19TH FLOOR	B Telephone number (see page 10 of the instructions) (908) 243-3619
	City or town, state, and ZIP code NEW YORK, NY 10019	C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 1,558,663,265.		J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	2,459,430			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
	3 Interest on savings and temporary cash investments	56,237	56,237		
	4 Dividends and interest from securities	19,137,555	19,137,555		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-13,140,096	Attachment 16		
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	2,630,690	-3,094,229		ATCH 1	
12 Total. Add lines 1 through 11	11,143,816	16,099,563			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	619,659			513,365
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) ATCH 2	31,107	0	0	22,820
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) *	4,842,515	4,842,515		
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions) *	909			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	13,212			13,495
	22 Printing and publications	838			838
	23 Other expenses (attach schedule) ATCH 5	9,737,807			9,319,335
	24 Total operating and administrative expenses. Add lines 13 through 23	15,246,047	4,842,515	0	9,869,853
	25 Contributions, gifts, grants paid	88,778,419		Attachment 18	75,239,224
26 Total expenses and disbursements. Add lines 24 and 25	104,024,466	4,842,515	0	85,109,077	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-92,880,650				
b Net investment income (if negative, enter -0-)		11,257,048			
c Adjusted net income (if negative, enter -0-)			-0-		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing	1,889,644.	4,844,270.	4,844,270.
	2	Savings and temporary cash investments	90,279,176.	26,982,966.	26,982,966.
	3	Accounts receivable ▶ 41,690.			
		Less: allowance for doubtful accounts ▶	0.	41,690.	41,690.
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable	3,702,272.	7,642,597.	7,642,597.
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	127,813.	94,773.	94,773.
	10 a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 6	234,040,910.	286,092,472.	286,092,472.
	c	Investments - corporate bonds (attach schedule) ATCH 7	136,851,081.	182,674,691.	182,674,691.
	11	Investments - land, buildings, and equipment: basis and equipment: basis Less: accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans				
13	Investments - other (attach schedule) ATCH 8	917,252,786.	986,350,632.	986,350,632.	
14	Land, buildings, and equipment: basis Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶ ATCH 9)	91,547,928.	63,939,174.	63,939,174.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,475,691,610.	1,558,663,265.	1,558,663,265.	
Liabilities	17	Accounts payable and accrued expenses	992,809.	1,277,384.	
	18	Grants payable	65,749,818.	79,740,272.	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ ATCH 10)	108,122,664.	41,010,784.	
23	Total liabilities (add lines 17 through 22)	174,865,291.	122,028,440.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	1,300,826,319.	1,436,634,825.	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see page 17 of the instructions)	1,300,826,319.	1,436,634,825.		
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,475,691,610.	1,558,663,265.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,300,826,319.
2	Enter amount from Part I, line 27a	2	-92,880,650.
3	Other increases not included in line 2 (itemize) ▶ ATTACHMENT 11	3	237,478,274.
4	Add lines 1, 2, and 3	4	1,445,423,943.
5	Decreases not included in line 2 (itemize) ▶ ATTACHMENT 12	5	8,789,118.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,436,634,825.

Part IV Capital Gains and Losses for Tax on Investment Income

Table with columns for (a) List and describe the kind(s) of property sold, (b) How acquired, (c) Date acquired, (d) Date sold, (e) Gross sales price, (f) Depreciation allowed, (g) Cost or other basis, (h) Gain or (loss), (i) F.M.V. as of 12/31/69, (j) Adjusted basis, (k) Excess of col. (i) over col. (j), and (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)).

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

Table with columns for (a) Base period years, (b) Adjusted qualifying distributions, (c) Net value of noncharitable-use assets, and (d) Distribution ratio. Includes rows for 2008-2004 and summary rows 2-8.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

Table with 11 rows for excise tax calculations. Includes sub-rows 6a-6d for credits and payments. Total tax due is 287,430, with 287,430 overpaid and refunded.

Part VII-A Statements Regarding Activities

Table with 10 rows of activity statements. Columns include question text, 'Yes', and 'No'. For example, '1a' has 'No' checked, '1b' has 'No' checked, '1c' has 'No' checked, '2' has 'No' checked, '3' has 'No' checked, '4a' has 'Yes' checked, '4b' has 'Yes' checked, '5' has 'No' checked, '6' has 'Yes' checked, '7' has 'Yes' checked, '8b' has 'Yes' checked, '9' has 'No' checked, '10' has 'No' checked.

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)			X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		X	
Website address ▶ HTTP://WWW.DDCF.ORG				
14	The books are in care of ▶ EILEEN OBERLANDER Telephone no. ▶ 908-243-3619			
Located at ▶ 80 ROUTE 206 SOUTH HILLSBOROUGH, NJ ZIP + 4 ▶ 08876-1256				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions.)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation...
(2) Influence the outcome of any specific public election...
(3) Provide a grant to an individual for travel, study, or other similar purposes?
(4) Provide a grant to an organization other than a charitable, etc., organization...
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes...
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance...
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ATTACHMENT 13
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
6b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
7b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

Table with 5 columns: (a) Name and address, (b) Title, and average hours per week devoted to position, (c) Compensation (If not paid, enter -0-), (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Row 1: ATTACHMENT 14, 513,365, 0, 0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000, (b) Title, and average hours per week devoted to position, (c) Compensation, (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Row 1: NONE.

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATTACHMENT 15		712,333.
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE ATTACHMENTS 17 & 17A	284,143.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions.	
3 NONE	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,382,184,556.
b	Average of monthly cash balances	1b	25,390,729.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	1,407,575,285.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,407,575,285.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	21,113,629.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,386,461,656.
6	Minimum investment return. Enter 5% of line 5	6	69,323,083.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	69,323,083.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	112,570.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	112,570.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	69,210,513.
4	Recoveries of amounts treated as qualifying distributions	4	602,380.
5	Add lines 3 and 4	5	69,812,893.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	69,812,893.

Attachment 20

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	85,218,574.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	85,218,574.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	112,570.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	85,106,004.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				69,812,893.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			40,747,530.	
b Total for prior years: 20 07, 20 06, 20 05				
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008 0.				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 85,218,574.				
a Applied to 2008, but not more than line 2a			40,747,530.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				44,471,044.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				25,341,849.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009 0.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling
b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: Tax year (a) 2009, Prior 3 years (b) 2008, (c) 2007, (d) 2006, (e) Total. Rows include 2a (Adjusted net income), 2b (85% of line 2a), 2c (Qualifying distributions from Part XII), 2d (Amounts included in line 2c not used directly for active conduct of exempt activities), 2e (Qualifying distributions made directly for active conduct of exempt activities), 3 (Alternative tests: Assets, Endowment, Support).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
N/A
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.
a The name, address, and telephone number of the person to whom applications should be addressed:
N/A
b The form in which applications should be submitted and information and materials they should include:
SEE ATTACHMENT 21
c Any submission deadlines:
SEE ATTACHMENT 21
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
SEE ATTACHMENT 21

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHMENT 18				75,239,224.
Total. ▶ 3a				75,239,224.
b Approved for future payment SEE ATTACHMENT 19				81,360,173.
Total. ▶ 3b				81,360,173.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	56,237.	
4 Dividends and interest from securities			14	19,137,555.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property . .					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-13,140,096.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a _____					
b MISC. INCOME			01	1,061.	
c EXCISE TAX REFUNDS			01	1,971,552.	
d SALE OF COLLECTIBLES			01	658,077.	
e _____					
12 Subtotal. Add columns (b), (d), and (e)				8,684,386.	
13 Total. Add line 12, columns (b), (d), and (e)				13	8,684,386.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
▼	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash, (2) Other assets... b Other transactions: (1) Sales of assets to a noncharitable exempt organization, (2) Purchases of assets from a noncharitable exempt organization, (3) Rental of facilities, equipment, or other assets, (4) Reimbursement arrangements, (5) Loans or loan guarantees, (6) Performance of services or membership or fundraising solicitations... c Sharing of facilities, equipment, mailing lists, other assets, or paid employees... d If the answer to any of the above is "Yes," complete the following schedule.

Table with 4 columns: (a) Line no., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains N/A for (b) and (d).

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? [] Yes [X] No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. Multiple empty rows.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here section containing signature lines for officer/trustee and preparer, date, title, and preparer's identifying information (signature, firm name: GRANT THORNTON LLP, address: 666 THIRD AVENUE NEW YORK, NY, EIN: 36-6055558, phone: 212-599-0100).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

(1) Cash 1a(1) Yes No X

(2) Other assets 1a(2) X

b Other transactions:

(1) Sales of assets to a noncharitable exempt organization 1b(1) X

(2) Purchases of assets from a noncharitable exempt organization 1b(2) X

(3) Rental of facilities, equipment, or other assets 1b(3) X

(4) Reimbursement arrangements 1b(4) X

(5) Loans or loan guarantees 1b(5) X

(6) Performance of services or membership or fundraising solicitations 1b(6) X

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees 1c X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee: Edward P. Horny Date: 11-4-10 Title: President

Preparer's Use Only

Preparer's signature: Beth Shroyer Date: 11/1/2010 Check if self-employed: Preparer's identifying number (See Signature on page 30 of the instructions): 000741490

Firm's name (or yours if self-employed), address, and ZIP code: GRANT THORNTON LLP EIN: 36-6055558
666 THIRD AVENUE
NEW YORK, NY 10017-4011 Phone no. 212-599-0100

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
TOTAL GAIN (LOSS)							<u>-13140096.</u>	

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

2009

Name of the organization

DORIS DUKE CHARITABLE
 FOUNDATION

Employer identification number

13-7043679

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 $\frac{1}{3}$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization DORIS DUKE CHARITABLE FOUNDATION	Employer identification number 13-7043679
--	---

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ESTATE OF DORIS DUKE 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	\$ 2,459,430.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL EXCISE TAX REFUND	1,971,552.	0.
SALE OF COLLECTIBLES	658,077.	0.
MISCELLANEOUS INCOME	1,061.	0.
INVESTMENTS IN LIMITED PARTNERSHIPS	0.	-3,094,229.
	<u>2,630,690.</u>	<u>-3,094,229.</u>
TOTALS		

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
HOLLAND & KNIGHT	31,107.			22,820.
TOTALS	<u>31,107.</u>	<u>0.</u>	<u>0.</u>	<u>22,820.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
CUSTODY FEES	471,162.	471,162.
MANAGERS BASE FEES	1,752,363.	1,752,363.
INVESTMENT ADVISORY FEES	292,006.	292,006.
OTHER INVESTMENT EXPENSES	459,852.	459,852.
INVESTMENT EXPENSE ALLOCATED FROM DDMF	1,768,490.	1,768,490.
BANK FEES	18,759.	18,759.
PROFESSIONAL FEES/CONSULTING	1,874.	1,874.
SECURITIES LENDING EXPENSE	78,009.	78,009.
TOTALS	<u>4,842,515.</u>	<u>4,842,515.</u>

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
STATE INCOME TAX - UBIT	909.
TOTALS	<u>909.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
MANAGEMENT FEES	9,634,114.	9,364,977.
LESS: CHARITABLE PORTION RECOGNIZED ON DD MF		-118,102.
INSURANCE	100,496.	67,157.
MISCELLANEOUS EXPENSES	3,197.	5,303.
TOTALS	<u>9,737,807.</u>	<u>9,319,335.</u>

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 6

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
97-NTGI S&P 500 ENHANCED	2.	0.	0.
58-WESTPORT ASSET MANAGEMENT	16,688,485.	19,011,666.	19,011,666.
99-NTGI STRUCTURED SMALL CAP	3,335,224.	4,431,852.	4,431,852.
98-NTGI EAFE ENHANCED FUND	16,821,314.	21,173,269.	21,173,269.
42-GMO EMG MARKETS FUND III	37,096,802.	60,249,555.	60,249,555.
37-CAP. INT'L EMG.MKTS.GROWTH	44,163,938.	60,714,791.	60,714,791.
132-BGI FRONTIER FUND	11,146,749.	13,953,767.	13,953,767.
36-CAPITAL GUARDIAN GLOBAL E	39,387,331.	51,180,488.	51,180,488.
57-WALTER SCOTT & PARTNERS	40,371,816.	55,115,929.	55,115,929.
100-BRANDES INVESTMENT PTRS	25,029,249.	261,155.	261,155.
TOTALS	<u>234,040,910.</u>	<u>286,092,472.</u>	<u>286,092,472.</u>

FORM 990PF, PART II - CORPORATE BONDS

ATTACHMENT 7

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
62-BLACK ROCK CORE BOND FUND	26,014,514.	27,304,643.	27,304,643.
63-PIMCO COREPLUS BOND FUND	39,570,425.	18,065,182.	18,065,182.
64-PIMCO REAL RETURN	50,678,032.	46,845,828.	46,845,828.
83-FXD INCOME GLOBAL OPP FND	17,684,184.	21,232,095.	21,232,095.
85-NTGI GOVERNMENT BOND FUND	0.	1.	1.
134-CLIFTON GROUP	1,790,751.	6,900,083.	6,900,083.
130-MESIROW FINANCIAL	1,113,175.	228,088.	228,088.
144-PIMCO SHORT DURATION BOND	0.	62,098,771.	62,098,771.
TOTALS	<u>136,851,081.</u>	<u>182,674,691.</u>	<u>182,674,691.</u>

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 8

DESCRIPTION	BEGINNING		ENDING	
	BOOK VALUE	FMV	BOOK VALUE	FMV
41-FARALLON CAP INST. PTRS	53,550,000.	28,906,664.	28,906,664.	28,906,664.
30-AG PRINCESS LP	50,762,540.	60,416,826.	60,416,826.	60,416,826.
50-OCH-ZIFF OFFSEAS FUND	49,917,128.	60,500,511.	60,500,511.	60,500,511.
74-AQR ABSOLUTE RTRN OFFSHORE	2,141,457.	0.	0.	0.
78-BRIDGewater PURE ALPHA FN	61,860,918.	63,055,002.	63,055,002.	63,055,002.
103-OLD LANE CAYMAN HFF	630,091.	521,663.	521,663.	521,663.
110-RENAISSANCE INSTIT EQTYS	41,824,719.	39,679,242.	39,679,242.	39,679,242.
48-MAVERICK FUND LTD	19,647,793.	0.	0.	0.
35-BROOKSIDE CAP PARTNERS	37,293,716.	42,722,658.	42,722,658.	42,722,658.
52-PEQUOT ENDOWMENT FUND LP	36,618,323.	8,440,141.	8,440,141.	8,440,141.
45-HIGHLINE CAPITAL INT'L	17,215,346.	18,294,617.	18,294,617.	18,294,617.
67-SOUTHPORT ENERGY PLUS OS	34,563,428.	43,013,526.	43,013,526.	43,013,526.
73-CHILDREN'S INVESTMENT FUND	37,222,745.	31,938,312.	31,938,312.	31,938,312.
80-OSPARIE WINGSPAN	20,306,990.	2,999,496.	2,999,496.	2,999,496.
87-PROSPECT HARBOR CR PTRS	6,620,646.	11,631,392.	11,631,392.	11,631,392.
90-CONVEXITY CAP OFFSHORE	37,855,527.	33,613,281.	33,613,281.	33,613,281.
104-KING STREET CAPITAL	26,317,919.	31,679,474.	31,679,474.	31,679,474.
112-GALLEON TECHNOLOGY FUND	18,214,560.	5,202,093.	5,202,093.	5,202,093.
43-HARBOURVEST IPEP I LP	6,179,803.	7,520,403.	7,520,403.	7,520,403.
39-COMMONFUND CAP PE V LP	19,816,394.	18,088,964.	18,088,964.	18,088,964.
40-COMMONFUND CAP VP VI LP	12,794,279.	11,801,611.	11,801,611.	11,801,611.
54-SPO PARTNERS II LP	28,569,752.	45,268,958.	45,268,958.	45,268,958.
65-BAIN CAPITAL FUND VIII LP	9,031,365.	8,467,204.	8,467,204.	8,467,204.
68-HEARTWOOD FORESTRY FUND V	11,071,419.	10,397,431.	10,397,431.	10,397,431.
69-GMO FORESTRY FUND VIII	14,709,642.	14,486,582.	14,486,582.	14,486,582.
70-PORTFOLIO ADVISORS	20,737,398.	20,018,294.	20,018,294.	20,018,294.
72-KIRTLAND CAPITAL CO LP	2,678,513.	2,697,856.	2,697,856.	2,697,856.
75-OAK HILL CAP PART II	10,693,297.	10,884,632.	10,884,632.	10,884,632.
76-SANKATY CREDIT OPP II LP	6,351,467.	7,576,355.	7,576,355.	7,576,355.
81-SEQUOIA CAP GROWTH FUND III	4,015,547.	4,368,978.	4,368,978.	4,368,978.
82-SEQUOIA CAP CHINA I	1,865,026.	1,932,902.	1,932,902.	1,932,902.
88-BAY PARTNERS XI, LP	2,134,324.	1,904,515.	1,904,515.	1,904,515.
89-LYME FOREST FUND	5,222,081.	5,540,970.	5,540,970.	5,540,970.
91-DFJ ELEMENT	1,236,979.	1,121,112.	1,121,112.	1,121,112.
92-PORTFOLIO ADVISORS PE IV	12,621,996.	12,322,324.	12,322,324.	12,322,324.

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 8 (CONT'D)

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
94-TA ASSOCIATES X LP	2,790,492.	3,395,376.	3,395,376.
95-BAIN CAPITAL FUND IX LP	7,324,873.	5,256,508.	5,256,508.
96-NGEN PARTNERS II	1,159,846.	989,583.	989,583.
101-SEQUOIA CAPITAL XII	2,733,018.	3,192,272.	3,192,272.
102-TPG PARTNERS V	3,393,451.	2,392,937.	2,392,937.
105-OAK INVEST PTNRS XII	2,739,962.	3,235,818.	3,235,818.
106-SEQUOIA CAP INDIA GRW I	2,043,569.	2,195,999.	2,195,999.
107-PERMIRA IV	1,738,829.	1,662,125.	1,662,125.
108-ASIA ALTERNATIVES CAP PTN	3,421,802.	5,674,902.	5,674,902.
109-CERBERUS INSTIT PTNRS LP	2,727,382.	3,864,909.	3,864,909.
121-TACONIC OPPORTUNITY FUND	8,733,251.	21,051,330.	21,051,330.
114-STEEL PARTNERS OFFSHORE	6,046,897.	6,038,826.	6,038,826.
115-STEEL PARTNERS JAPAN	5,374,651.	4,894,241.	4,894,241.
122-ATTICUS GLOBAL FUND	8,072,033.	-342,021.	-342,021.
124-HIGHLINE SELECT LIMITED	15,161,603.	16,179,726.	16,179,726.
126-ALGEBRIS GLOBAL FINANCIAL	13,290,744.	17,931,219.	17,931,219.
111-AACP CHINA GROWTH	1,533,574.	1,972,467.	1,972,467.
113-SILVER LAKE III	840,565.	1,556,493.	1,556,493.
117-GREENPARK INTERNATIONAL IN	4,480,786.	4,015,040.	4,015,040.
119-HARBORVEST PARTNERS 07 DI	880,676.	1,570,920.	1,570,920.
125-OAK HILL CAPITAL III	1,259,144.	1,268,496.	1,268,496.
118-INSIGHT VENTURE PARTNERS	1,327,981.	2,167,004.	2,167,004.
120-SEQUOIA CAPITAL CHINA II	729,874.	880,573.	880,573.
123-SEQUOIA CAPITAL INDIA III	431,759.	780,782.	780,782.
116-SANKATY CREDIT OPP III	2,782,108.	3,519,096.	3,519,096.
OTHER INVESTMENTS	5.	0.	0.
127-PAULSON ADVANTAGE PLUS	24,957,435.	30,315,358.	30,315,358.
129-BAUPOST VALUE PARTNERS IV	48,226,116.	64,114,295.	64,114,295.
138-GSO SPECIAL SITUATIONS	14,622,914.	21,390,541.	21,390,541.
128-ASIA ALTRNTVE CAP PTR III	241,625.	708,839.	708,839.
131-TPG PARTNERS VI	97,026.	436,083.	436,083.
133-NORDIC CAPITAL FUND VII	782,794.	1,069,550.	1,069,550.
135-INVESTCORP TECH PTNR III	1,031,146.	1,545,965.	1,545,965.
136-RIVERSTONE/CARLYLE GBL	1,245,417.	1,769,912.	1,769,912.
139-SANKATY CREDIT OPPOR IV	1,891,477.	3,587,951.	3,587,951.

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 8 (CONT'D)

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
140-AUSTIN VENTURES X	583,333.	883,575.	883,575.
141-TCV VII(A) LP	261,500.	638,749.	638,749.
143-SEQUOIA CAP INDIA GROWTH	0.	190,617.	190,617.
146-SEQUOIA CAP U.S. GROWTH FD	0.	1,171,675.	1,171,675.
147-ADAGE CAPITAL PARTNERS	0.	21,330,130.	21,330,130.
148-DIVERSIFIED OFFSHORE	0.	10,523,350.	10,523,350.
151-CONATUS CAPITAL OVERSEAS	0.	9,927,200.	9,927,200.
152-STEEL PARTNERS OFFSHORE	0.	168,602.	168,602.
145-GMO MEAN REVERSION	0.	14,959,052.	14,959,052.
149-WOODBINE CAPITAL FUND	0.	9,986,768.	9,986,768.
150-FRONTPOINT OFFSHORE	0.	9,273,810.	9,273,810.
TOTALS	<u>917,252,786.</u>	<u>986,350,632.</u>	<u>986,350,632.</u>

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 9

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SALES COMMITMENT RECEIVABLE	89,179,343.	61,586,218.	61,586,218.
INTEREST RECEIVABLE	884,769.	1,753,283.	1,753,283.
DIVIDENDS RECEIVABLE	365,754.	155,966.	155,966.
TAX RECLAIM RECEIVABLE	103,044.	27,525.	27,525.
OTHER INVESTMENT INCOME RECEIVABLE	42,320. 2,232.	8,446. 2,232.	8,446. 2,232.
DUE FROM DORIS DUKE FOUNDATION			
DUE FROM DORIS DUKE FOUNDATION FOR ISLAMIC ART COLLECTIBLES	24,034. 946,432.	24,034. 381,470.	24,034. 381,470.
TOTALS	<u>91,547,928.</u>	<u>63,939,174.</u>	<u>63,939,174.</u>

FORM 990PF, PART II - OTHER LIABILITIESATTACHMENT 10

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>
DUE TO DDMF	3,123,280.	3,756,189.
DUE TO DUKE FARMS FOUNDATION	156,960.	156,960.
DEFERRED FEDERAL & STATE EXCISE TAX PAYABLE	0.	3,448,481.
PURCHASE COMMITMENTS PAYABLE	104,828,963.	33,645,788.
SECURITIES LENDING PAYABLE	13,461.	3,366.
TOTALS	<u>108,122,664.</u>	<u>41,010,784.</u>

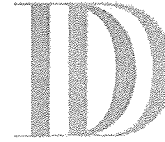
ATTACHMENT 11FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
NET UNREALIZED GAIN ON SECURITIES	235,997,379.
CHANGE IN VALUE OF BENEFICIAL INTEREST IN TRUSTS HELD BY OTHERS	1,480,895.
TOTAL	<u>237,478,274.</u>

ATTACHMENT 12

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
DEFERRED FEDERAL EXCISE TAX	3,448,481.
PRIOD PERIOD CUMULATIVE ADJUSTMENT TO FUND BALANCE	5,340,637.
TOTAL	<u>8,789,118.</u>



September 7, 2010

Mrs. Marion Oates Charles
President
Newport Restoration Foundation
51 Touro Street
Newport, Rhode Island 02840

D O R I S D U K E
C H A R I T A B L E F O U N D A T I O N

Dear Mrs. Charles:

The Doris Duke Charitable Foundation has made grants to the Newport Restoration Foundation in 2009 totaling \$2,000,000 to be used for Rough Point's general operating expenses (referred to collectively as the "Grant"). Because the Newport Restoration Foundation is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from the Newport Restoration Foundation before making payment of the Grant funds. By signing this letter, you agree on behalf of the Newport Restoration Foundation to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully apprised of the status or use of the Grant or income arising there from, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising there from,
 - (a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
 - (b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
 - (c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
 - (d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

The Newport Restoration Foundation also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, the Newport Restoration Foundation agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (i) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses

Mrs. Marion Charles
Page 3
September 7, 2010

which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years, which the Newport Restoration Foundation elects pursuant to Treas. Reg. 53.4942(A)-3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if the Newport Restoration Foundation were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,

The Doris Duke Charitable Foundation

By: Edward P. Henry
Edward P. Henry, President

Agreed to and accepted on behalf of the
Newport Restoration Foundation this _____
day of 10/20, 2010

By Marion Oates Charles
Marion Oates Charles, President

NEWPORT RESTORATION FOUNDATION

Founded by Doris Duke, 1968



51 TOURO STREET

NEWPORT, RHODE ISLAND 02840-2932

Telephone 401.849.7300

Facsimile 401.849.0125

www.newportrestoration.org

September 13, 2010

Mr. Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, New York 10019

Dear Mr. Henry:

In 2009, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$2,000,000 to the Newport Restoration Foundation ("NRF") in support of Rough Point. By a letter dated September 7, 2010, I agreed on behalf of NRF to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. This is a report covering the portion of the grant funds spent in 2009.

Name and address of grantee:

Newport Restoration Foundation
51 Touro Street
Newport, Rhode Island 02840

Purpose of Grants:

To be used for Rough Point's general operating expenses. The Rough Point Property owned by NRF is used for a charitable purpose since it is a historic house, which is open to the public.

The following table contains the date of payment of each grant, the amount of the grant, and a description of how the grant was spent by NRF:

<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
1/08/09	\$548,250	Employee Related Expenses; General Operating Expenses; General Administrative Expenses and Capital Expenses
3/02/09	\$685,300	" "
6/01/09	\$601,800	" "
9/01/09	\$164,650	" "

All of the grant funds have been spent for Rough Point's general operating expenses, and no portion of the grant funds has been diverted from such purpose.

NEWPORT RESTORATION FOUNDATION

Founded by Doris Duke, 1968



51 TOURO STREET

NEWPORT, RHODE ISLAND 02840-2932

Telephone 401.849.7300

Facsimile 401.849.0125

www.newportrestoration.org

Mr. Edward P. Henry

Page 2

September 13, 2010

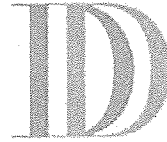
In addition, in the letter dated September 7, 2010, I represented that before the end of 2009 NRF would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$2,000,000 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made.

As described above, \$2,000,000 of the grant funds were spent for reasonable and necessary administration expenses to accomplish NRF's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of \$ 2,000,000 of the grant funds in 2009. Since NRF has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if NRF were not a private operating foundation.

Very truly yours,

Newport Restoration Foundation

By: Marion Oates Charles
Marion Oates Charles, President



September 7, 2010

Mr. Peter Simmons
Chief Financial Officer
Doris Duke Foundation for Islamic Art
650 Fifth Avenue, 19th Floor
New York, New York 10019

D O R I S D U K E
C H A R I T A B L E F O U N D A T I O N

Dear Mr. Simmons:

The Doris Duke Charitable Foundation has made grants to the Doris Duke Foundation for Islamic Art in 2009 totaling \$5,519,670 to be used for the Doris Duke Foundation for Islamic Art's general operating expenses (referred to collectively as the "Grant"). Because the Doris Duke Foundation for Islamic Art is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from the Doris Duke Foundation for Islamic Art before making payment of the Grant funds. By signing this letter, you agree on behalf of the Doris Duke Foundation for Islamic Art to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising therefrom,
 - a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
 - b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
 - c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
 - d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

The Doris Duke Foundation for Islamic Art also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, the Doris Duke Foundation for Islamic Art agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (1) the names and addresses of the recipients of any such qualifying distribution and the

Mr. Peter Simmons
Page 3
September 7, 2010

amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which the Doris Duke Foundation for Islamic Art elects pursuant to Treas. Reg. 53.4942(A)-3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if the Doris Duke Foundation for Islamic Art were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,

The Doris Duke Charitable Foundation

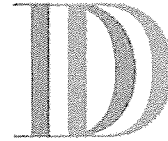
By: Edward P. Henry
Edward P. Henry, President

Agreed to and accepted on behalf of the
Doris Duke Foundation for Islamic Art this 7th
day of September, 2010

By: Peter Simmons

Peter Simmons, Chief Financial Officer

September 9, 2010



D O R I S D U K E
FOUNDATION FOR ISLAMIC ART

Mr. Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, New York 10019

Dear Mr. Henry:

In 2009, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$5,519,670 to the Doris Duke Foundation for Islamic Art ("DDFIA"). By a letter dated September 7, 2010, I agreed on behalf of DDFIA to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2009 the year in which they were granted, this is both a first and final report.

Name and address of grantee:
Doris Duke Foundation for Islamic Art
4055 Papu Circle
Honolulu, HI 96816

Purpose of Grants:

To be used for DDFIA's general operating expenses. Property owned by DDFIA is used for a charitable purpose since DDFIA facilitates the study and understanding of Middle Eastern Art and Culture.

650 Fifth Avenue 19th Floor New York NY 10019
tel 212 974 7000 fax 212 974 7590 www.ddcf.org

An operating foundation supported by the
Doris Duke Charitable Foundation

Mr. Edward P. Henry
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September 9, 2010

The following table contains the date of payment of each part of the grant that was spent in 2009, and a description of how the grant was spent by DDFIA:

<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
1/31/09	\$437,053	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Investment Expenses; Capital Expenditures.
2/28/09	\$138,218	"
3/31/09	\$514,374	"
4/30/09	\$246,564	"
5/31/09	\$211,820	"
6/30/09	\$867,053	"
7/31/09	\$248,214	"
8/31/09	\$263,835	"
9/30/09	\$915,886	"
10/31/09	\$269,200	"
11/30/09	\$299,060	"
12/31/09	\$1,108,393	"

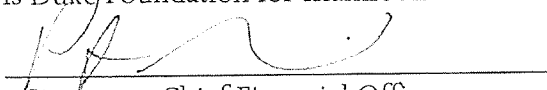
All of the grant funds have been spent for DDFIA's operating expenses, and no portion of the grant funds has been diverted from such purpose.

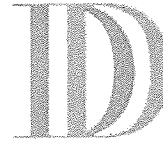
In addition, in the letter dated September 7, 2010 I represented that before the end of 2009, DDFIA would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$5,519,670 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made.

As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DDFIA's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2009.

Since DDFIA has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DDFIA were not a private operating foundation.

Very truly yours,
Doris Duke Foundation for Islamic Art

By: 
Peter Simmons, Chief Financial Officer



September 7, 2010

Mr. Peter Simmons
Chief Financial Officer
Duke Farms Foundation
80 Route 206 South
Hillsborough, New Jersey 08844

D O R I S D U K E
C H A R I T A B L E F O U N D A T I O N

Dear Mr. Simmons:

The Doris Duke Charitable Foundation has made grants to Duke Farms Foundation in 2009 totaling \$7,949,217 to be used for Duke Farms Foundation's general operating expenses (referred to collectively as the "Grant"). Because Duke Farms Foundation is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from Duke Farms Foundation before making payment of the Grant funds. By signing this letter, you agree on behalf of Duke Farms Foundation to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

Mr. Peter Simmons
Page 2
September 7, 2010

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising therefrom,
 - a. To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
 - b. To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
 - c. To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
 - d. To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

Duke Farms Foundation also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, Duke Farms Foundation agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (1) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details

Mr. Peter Simmons
Page 3
September 7, 2010

concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which Duke Farms Foundation elects pursuant to Treas. Reg. 53.4942(A) 3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if Duke Farms Foundation were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,
The Doris Duke Charitable Foundation

By: Edward P. Henry
Edward P. Henry, President

Agreed to and accepted on behalf of
Duke Farms Foundation this 7th
day of September, 2010

By: Peter Simmons
Peter Simmons, Chief Financial Officer

DUKE FARMS

80 Route 206 South · Hillsborough, NJ 08844 · tel: 908.722.3700 · www.dukefarms.org
Owned and supported by the Duke Farms Foundation

September 9, 2010

Mr. Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, New York 10019

Dear Mr. Henry:

In 2009, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$7,949,217 to Duke Farms Foundation ("DFF"). By a letter dated September 7, 2010, I agreed on behalf of DFF to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2009 the year in which they were granted, this is both a first and final report.

Name and address of grantee:
Duke Farms Foundation
80 Route 206 South
Hillsborough, NJ 08844

Purpose of Grants:

To be used for DFF's general operating expenses. Property owned by DFF is used for a charitable purpose since DFF is transitioning to become a regional center for environmental stewardship. DFF provides educational programs to visitors as well as serving as a critical habitat for protecting endangered species of all kinds, both flora and fauna.

The following table contains the date of payment of each part of the grant that was spent in 2009 and a description of how the grant was spent by DFF:


<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
1/31/09	\$1,672,148	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Investment Expenses; Capital Expenditures.
2/28/09	\$862,028	"
3/31/09	\$1,353,157	"
4/30/09	\$758,426	"
5/31/09	(\$2,453,052)	"
6/30/09	\$1,195,361	"
7/31/09	\$1,061,952	"
8/31/09	\$501,085	"
9/30/09	\$973,395	"
10/31/09	\$901,825	"
11/30/09	\$21,927	"
12/31/09	\$1,100,965	"

All of the grant funds have been spent for DFF's operating expenses, and no portion of the grant funds has been diverted from such purpose.

In addition, in the letter dated September 7, 2010, I represented that before the end of 2009, DFF would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$7,949,217 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made. As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DFF's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2009.

Since DFF has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DFF were not a private operating foundation.

Very truly yours,
Duke Farms Foundation

By: 
Peter Simmons, Chief Financial Officer

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 14

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
PETER SIMMONS 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	CHIEF FINANCIAL OFFICER 11.00 hours per week	0.	0.	0.
EDWARD P. HENRY 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	PRESIDENT 20.00 hours per week	0.	0.	0.
ELIZABETH FADER 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	SECRETARY/CHIEF PROGRAM OFFICE 26.00 hours per week	0.	0.	0.
JIDE ZEITLIN 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 28.00 hours per year	0.	0.	0.
HARRY DEMOPOULOS 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 36.00 hours per year	117,673.	0.	0.
ANTHONY S. FAUCI 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 30.00 hours per year	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 14 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
JAMES F. GILL 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 60.00 hours per year	117,673.	0.	0.
ANNE HAWLEY 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 60.00 hours per year	117,673.	0.	0.
NANERL O. KEOHANE 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 89.00 hours per year	57,673.	0.	0.
JOHN H. T. WILSON 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 63.00 hours per year	0.	0.	0.
JOHN ZUCCOTTI 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 70.00 hours per year	0.	0.	0.
PETER A. NADOSY 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 53.00 hours per year	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 14 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
WILLIAM H. SCHLESINGER 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 44.00 hours per year	102,673.	0.	0.
CLAIRE BARALT 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	COMMUNICATIONS OFFICER 32.00 hours per week	0.	0.	0.
KATHY HALBREICH 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 66.00 hours per year	0.	0.	0.
GRAND TOTALS		513,365.	0.	0.

The Doris Duke Charitable Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2009
Statement 14a

Notes:

(1) The Doris Duke Charitable Foundation (DDCF) does not compensate any of its officers directly; the officers are paid compensation by the Doris Duke Management Foundation (DDMF). The hours shown on the Form 990-PF are based on an allocation of time spent on matters related to DDCF and are for informational purposes only. These officers provide services to other related entities (Doris Duke Foundation (DDF), Doris Duke Foundation for Islamic Art (DDFIA), Duke Farms Foundation (DFF), Doris Duke Management Foundation (DDMF) and in the aggregate, hours worked on matters related to these entities equal or exceed 40 hours a week. The allocation of compensation paid by the DDMF and allocated to DDCF is based on an annual survey and is shown in Note (3) below for informational purposes only. It should be noted that compensation includes SERP and SERP gross up payments as well as other taxable fringe benefits for Edward Henry and Elizabeth Fader. Benefits include 401(K) contributions, health insurance, dental and group life insurance. The salary allocation shown in Note (3) is included in the management fee shown on Line 23, Part I, Other Expenses.

(2) To the extent any trustees receives compensation, they are paid by the Doris Duke Charitable Foundation. The hours shown are based on an allocation of time spent on matters related to Doris Duke Charitable Foundation and are for informational purposes only. These trustees provide services to the other related entities noted above in Note (1) and in the aggregate, hours worked on matters related to these other entities equal or exceed 60 hours per year. The amount of each individual's compensation was established as part of a settlement agreement in connection with the probate of Doris Duke's will and surrogate court order. The surrogate's May 15, 1996 order capped compensation at \$900,000.

Doris Duke Charitable Foundation		Form 990-PF - Return of Private Foundation									
FYE: 12/31/2009		Attachment 14A									
(3)											
		DDCF	DDMF	DDF	DDF	DDF	DDFIA	CHECK			
EDWARD HENRY											
ALLOCATION %	100%	50%	14%	20%	1%	15%	100%				
HOURS	2080	1,040	291	416	21	312	2,080				
HRS PER WEEK	40	20	6	8	1	6	41				
SALARY	538,668	269,334	75,414	107,734	5,387	80,800	538,668				
BENEFITS	55,779	27,890	7,809	11,156	558	8,367	55,779				
ELIZABETH FADER											
ALLOCATION %	100%	65%	0%	5%	0%	30%	100%				
HOURS	2080	1,352	-	104	-	624	2,080				
HRS PER WEEK	40	26	-	2	-	12	40				
SALARY	251,488	163,467	-	12,574	-	75,446	251,488				
BENEFITS	45,558	29,613	-	2,278	-	13,667	45,558				
PETER SIMMONS											
ALLOCATION %	100%	28%	35%	30%	2%	5%	100%				
HOURS	2080	582	728	624	42	104	2,080				
HRS PER WEEK	40	11	14	12	1	2	40				
SALARY	207,184	58,012	72,514	62,155	4,144	10,359	207,184				
BENEFITS	51,706	14,478	18,097	15,512	1,034	2,585	51,706				
CLAIRE BARALT											
ALLOCATION %	100%	79%	5%	7%	1%	8%	100%				
HOURS	2080	1,643	104	146	21	166	2,080				
HRS PER WEEK	40	32	2	3	1	3	41				
SALARY	90,841	71,764	4,542	6,359	908	7,267	90,841				
BENEFITS	28,688	22,664	1,434	2,008	287	2,295	28,688				

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 15

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
NORTHERN TRUST COMPANY 50 S. LASALLA ST. CHICAGO, IL 60675	BANKING/INVESTMENTS	417,915.
CAMBRIDGE ASSOCIATES LLC 100 SUMMER STREET BOSTON, MA 02110	INVESTMENT FEES	294,418.
	TOTAL COMPENSATION	<u>712,333.</u>

DORIS DUKE CHARITABLE FOUNDATION

Form 990-PF Return of Private Foundation

FYE: 12/31/2009

Attachment 16

Form 990, Part I, Line 6(a) and Part IV Statement

DESCRIPTION	REALIZED GAINS	REALIZED (LOSSES)
EQUITY INVESTMENTS		
US LARGE CAP MANAGERS		
97-NTGI S&P 500 Enhanced	204,940	(200,095)
TOTAL US LARGE CAP MANAGERS	204,940	(200,095)
US SMALL CAP MANAGERS		
58-WESTPORT ASSET MANAGEMENT	547,695	(1,656,513)
99-NTGI STRUCTURED SMALL CAP	8,050,187	(7,743,393)
TOTAL US SMALL CAP MANAGERS	8,597,882	(9,399,906)
NON-US DEVELOPED		
98-NTGI EAFE ENHANCED FUND	1	(4,832)
TOTAL NON-US DEV. MANAGERS	1	(4,832)
NON-US EMERGING MANAGERS		
42-GMO EMG MARKETS FUND III	910,294	-
37-CAP.INT'L EMG.MKTS.GROWTH	-	(7,924,552)
132-BGI FRONTIER FUND	402,050	(4,861,350)
TOTAL NON-US EMERGING MANAGERS	1,312,344	(12,785,902)
GLOBAL EQUITY		
36-CAPITAL GUARDIAN GLOBAL E	7,828,828	(15,227,895)
57-WALTER SCOTT & PARTNERS	3,181,967	(2,661,985)
100-BRANDES INVESTMENT PTRS	3,489,746	(15,033,090)
TOTAL GLOBAL EQUITY	14,500,541	(32,922,970)
TOTAL EQUITY INVESTMENTS	24,615,708	(55,313,705)
MARKETABLE ALTERNATIVE INVST		
MULTI-STRATEGY		
41-FARALLON CAP INST. PTRS	5,257,493	-
74-AQR ABSOLUTE RTRN OFFSHRE	6,730,961	(6,439,550)
103-OLD LANE CAYMAN HFF	122,388	-
TOTAL MULTI-STRATEGY	12,110,842	(6,439,550)
LONG/SHORT EQUITY		
48-MAVERICK FUND LTD	5,247,788	-
52-PEQUOT ENDOWMENT FUND LP	2,556,501	-

DORIS DUKE CHARITABLE FOUNDATION
Form 990-PF Return of Private Foundation
FYE: 12/31/2009
Attachment 16

Form 990, Part I, Line 6(a) and Part IV Statement

DESCRIPTION	REALIZED GAINS	REALIZED (LOSSES)
73-CHILDREN'S INVESTMENT FND	2,931,205	(31,959)
112-GALLEON TECHNOLOGY FUND	1,528,156	-
114-STEEL PARTNERS OFFSHORE	-	(4,674,973)
122-ATTICUS GLOBAL FUND	-	(2,355,619)
TOTAL LONG/SHORT EQUITY	12,263,650	(7,062,551)
TOTAL MARKETABLE ALT INVSTMNTS	24,374,492	(13,502,101)
NON-MARKETABLE ALTERNATIVE AST		
43-HARBOUR VEST IPEP I LP	271,422	-
40-COMMONFUND CAP VP VI LP	450,211	-
65-BAIN CAPITAL FUND VIII LP	229,678	-
68-HEARTWOOD FORESTRY FUND V	60,923	-
75-OAK HILL CAP PART. II	70,394	-
81-SEQUOIA CAP GROWTH FNDIII	602,818	-
82-SEQUOIA CAP CHINA I	141,844	-
92-PORTFOLIO ADVISORS PE IV	10,175	-
102-TPG PARTNERS V	137,721	-
106-SEQUOIA CAP INDIA GRW I	197,073	-
107-PERMIRA IV	5,102	(33,663)
108-ASIA ALTERNATIVES CAP PTN	17,360	-
117-GREENPARK INTL INVST III	43,015	(15,014)
128-ASIA ALTRNTVE CAP PTR II	68,492	-
133-NORDIC CAPITAL FUND VII	2,524	(8,911)
139-SANKATY CREDIT OPPOR IV	386,297	-
TOTAL NON MKTBL ALTER. ASSE	2,695,049	(57,588)
FIXED INCOME/MISC INVESTMENTS		
62-BLACK ROCK CORE BOND FUND	4,487,635	(4,869,689)
63-PIMCO COREPLUS BOND FUND	13,747,229	(9,865,439)
64-PIMCO REAL RETURN	5,757,610	(4,110,978)
85-NTGI GOVERNMENT BOND FUND	469,973	(469,973)
142-NTGI ST GOVERNMENT BOND	129,746	(375,409)
144-PIMCO SHORT DURATION BOND	531,507	(386,705)
134-CLIFTON GROUP	24,651,809	(23,552,976)
130-MESIROW FINANCIAL	5,648,253	(7,664,009)
TOTAL FIXED INC./MISC INVESTS	55,423,762	(51,295,178)

DORIS DUKE CHARITABLE FOUNDATION

Form 990-PF Return of Private Foundation

FYE: 12/31/2009

Attachment 16

Form 990, Part I, Line 6(a) and Part IV Statement

DESCRIPTION	REALIZED GAINS	REALIZED (LOSSES)
TOTAL INVESTMENT MANAGERS	107,109,011	(120,168,572)
CASH AND OTHER		
1-INVESTMENT CASH	-	(80,535)
TOTAL CASH AND OTHER	-	(80,535)
TOTAL 100-DDCF	107,109,011	(120,249,107)
Total Capital Loss as Reported on Part I, Line 6(a)		(13,140,096)

Doris Duke Charitable Foundation

Form 990-PF

Part IX-A: Summary of Direct Charitable Activities

FYE: 12/31/2009

Environmental Activities

Doris Duke was a lifelong environmentalist with a keen interest in conservation. In her will, she expressed her interest in "the preservation of wildlife, both flora and fauna" and in supporting "ecological endeavors." The Doris Duke Charitable Foundation promotes an Environmental Program that is committed to enable communities to protect and manage wildlife habitat and create efficient built environments. The Foundation supports environmental initiatives and partners with other tax-exempt organizations like The Nature Conservancy to protect public land.

The Environmental Program strives to accomplish its mission through the following strategies:

- Accelerate wildlife habitat conservation in an era of climate change - Protect important wildlife habitat and help wildlife adapt to the impacts of climate change.
- Reduce impacts on the landscape from increased energy development and energy demand - Expedite the sensitive siting of energy infrastructure and reduce energy demand by increasing energy efficiency in the buildings sector.
- Encourage land stewardship and sustainability in the Tri-State area - Support local efforts to manage wildlife habitat and create efficient, healthy, and vibrant built and natural environments.
- Help build a clean-energy economy – Support the research and analysis needed to develop government policies to foster technological innovation in the energy sector and accelerate the emergence of tomorrow’s clean-energy technologies. Grants in this category are made through the Environment Program’s Climate Change Initiative, which will wind down by 2011.

Medical Research Activities

Doris Duke was a significant supporter of medical research, making contributions to hospitals and research centers throughout her life. In her will, she expressed her interest in supporting “medical research designed to effectuate cures of major diseases.”

The mission of the Medical Research Program is to support the prevention, treatment and cure of human disease. The Medical Research Program strives to accomplish its mission through the following strategies:

- Clinical Research

This strategy supports competitive award programs that build the clinical research career ladder and expand clinical research frontiers to advance the translation of biomedical discoveries into applications that improve human health.

- African Health Research

This strategy supports implementation research and builds research capacity to improve health and strengthen regional health systems in sub-Saharan Africa.

Child Abuse Prevention

Doris Duke took a special interest in the needs of children, supporting nearly 85 child welfare organizations during her life. Her support included contributions to orphanages, camps, mentor programs, little league teams, hospitals, and boys and girls clubs. In her will, Doris Duke expressed her interest in "the prevention of cruelty to children."

The mission of the Child Abuse Prevention Program is to protect children from abuse and neglect in order to promote their healthy development.

The program seeks to accomplish its mission by making grants that develop and infuse best prevention practices into normal, non-stigmatizing systems that routinely serve large numbers of families with young children (birth to age six), such as the early education and child care systems. The foundation supports prevention and early intervention efforts that educate, support, and/or assist families **before** an incident of abuse or neglect occurs.

The Arts

Doris Duke, in her will, expressed an interest in assisting "actors, dancers, singers, musicians and other artists of the entertainment world in fulfilling their ambitions and providing opportunities for the public presentation of their arts and talents." The Doris Duke Charitable Foundation supports this interest by focusing its support on contemporary dance, jazz and theatre artists, and the organizations that nurture, present and produce them.

The mission of the Arts Program is to support performing artists with the creation and public performance of their work. The Arts Program accomplishes this mission through the following initiatives:

- **Artistic Creation & Distribution** – Through national competitive initiatives administered by intermediary organizations, the foundation supports commissioning, production and presentation of new work in each of its fields of interest. Typically these re-granting programs offer additional benefits to supported artists, including professional development, networking opportunities and participation in conferences and other meetings.
- **Organizational Transformation** – To complement its support for artistic creation and distribution, the Arts Program works to build strong organizations to serve performing artists. Through competitive initiatives administered by intermediary organizations, the foundation offers grants to support bold, innovative approaches to addressing current issues

affecting the performing arts: the impact of technology, loss of audiences and/ or changes in leadership.

- **National Sector Building** – Recognizing DDCF's role as a national foundation, the Arts Program supports activities that will build strong national performing arts fields. The Arts Program directly supports national organizations critical to the health of dance, jazz, presenting and theatre, as well as national projects that have the potential to improve the health of a given field.

The \$284,143 in direct charitable expenditures listed in Part IX-A represent amounts paid by the Doris Duke Charitable Foundation to support various meetings, conferences, seminars and other climate, conservation and/or medical research endeavors. Further information about each individual program listed in the attached schedule is available upon request.

Doris Duke Charitable Foundation
Form 990-PF Return of Private Foundation
FYE: 12/31/2009
Direct Charitable Activities
Attachment 17

<u>Direct Charitable Activities</u>	<u>Expenditure</u>
National Conversations	9,668
National Conversations F09	16,206
Fund Panel Winter 09	157
Fund Panel Summer 09	2,106
ME Program 09	3,194
CCI Grantee Meeting	11,045
2009 CRF Annual Meeting	87,946
2009 CSDA Panel meeting	968
2009 Interfaces Panel Meeting	491
2009 DCSA Site Visits	13,866
2009 Clinical Scientist Meetings	64,030
AHI Site Visits	74,466
Total	<u><u>284,143</u></u>

DORIS DUKE CHARITABLE FOUNDATION
Form 990-PF Return of Private Foundation
FYE: 12/31/2009
Attachment 18

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
PROGRAM GRANTS			\$ 59,580,312
NEWPORT RESTORATION FOUNDATION (NRF) FOR ROUGH POINT		GENERAL SUPPORT	\$ 2,000,000
DUKE FARMS FOUNDATION	RELATED ENTITY	GENERAL SUPPORT	\$ 7,949,217
DORIS DUKE FOUNDATION FOR ISLAMIC ART	RELATED ENTITY	GENERAL SUPPORT	\$ 5,519,670
DONATIONS			\$ 82,525
NON CASH PROPERTY (APPRAISED VALUE)			\$ 107,500
			<u>\$ 75,239,224</u>

2009 GRANT PAYMENTS AND FUTURE LIABILITY

Summary by Program Area

	Beginning Balance 2009	Approvals 2009	Amended 2009	Amount Paid 2009	Approved for Future Payment
TOTAL ARTS	21,596,170	5,435,663	-	13,175,827	13,856,006
TOTAL ENVIRONMENT	16,704,271	9,170,799	-	13,278,578	12,596,492
TOTAL CLIMATE CHANGE INITIATIVE	9,450,000	4,296,318	-	12,218,318	1,528,000
TOTAL MEDICAL RESEARCH	12,510,000	8,284,300	(270,000)	12,591,200	7,933,100
TOTAL AFRICAN HEALTH INITIATIVE	32,970	43,832,650	(32,970)	4,620,017	39,212,633
TOTAL CHILD ABUSE PREVENTION	4,148,986	5,226,331	-	3,641,373	5,733,944
TOTAL STRATEGY & PLANNING	-	555,000	-	55,000	500,000
GRAND TOTAL	64,442,397	76,801,060	(302,970)	59,580,312	81,360,175
Per Note H to Consolidated Financial Statements at 12/31/09 (p.20)	64,442,395	78,801,056	(302,970)	61,580,312	81,360,173
Difference	(3)	1,999,996	-	2,000,000	(3)
Grant approved & paid to NRF		2,000,000		2,000,000	

DORIS DUKE CHARITABLE FOUNDATION

Form 990-PF Return of Private Foundation

FYE: 12/31/2009

Attachment 18a

VENDOR	DATE	GAAP	CASH	Address	City	State	Zip	PURPOSE OF GIFT
DUKE UNIVERSITY	06/10/09	73,545.00	64,625.00	BOX 90202, SMITH WAREHOUSE	DURHAM	NC	27708	DONATION AND SUPPORT OF HISTORICAL MATERIALS
WILLIAM B MEYER INC	08/24/09	17,900.00	17,900.00	225 LONG BEACH BLVD	STRATFORD	CT	6615	RELOCATION OF HISTORICAL MATERIALS TO DUKE UNIV.
NEWARK MUSEUM	12/02/09	12,200.00	37,750.00	49 WASHINGTON ST	NEWARK	NJ	7102	DONATION OF DECORATIVE OBJECTS
COOPER-HEWITT MUSEUM	09/21/09	3,500.00	34,000.00	2 EAST 91ST STREET	NEW YORK	NY	10128	DONATION OF DECORATIVE OBJECTS
WOLFSONIAN MUSEUM	11/05/09	8,250.00	18,200.00	1001 WASHINGTON AVENUE	MIAMI	FL	33139	DONATION OF DECORATIVE OBJECTS
ACADEMY OF NATURAL SCIENCE	11/11/09	-	17,550.00	1900 BENJAMIN FRANKLIN PKWY	PHILADELPHIA	PA	19103	DONATION OF FUR AND CARPET ITEMS
Total Donations		115,395.00	190,025.00					

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR
AFRICAN HEALTH							
DDCF Medical Research Program <i>To support additional planning grants for Population Health Improvement and Training Centers (PHIT)</i> \$32,970.00 2007	501(c)3	\$32,970	\$0	-\$32,970	\$0	\$0	Not Applicable
Brigham & Women's Hospital Inc 75 Francis Street Boston, MA 02115 <i>Strengthening and Studying Community-Based, Integrated Primary Health Care Systems in Rural Rwanda</i> \$8,000,000.00 2009	501(c)3	\$0	\$8,000,000	\$0	\$978,019	\$7,021,981	Not Applicable
Trustees of Columbia University in the City of New York New York, NY <i>Accelerating Millennium Development Goal Progress by Exchanging Health System Innovations between Tanzania and Ghana</i> \$14,671,500.00 2009	501(c)3	\$0	\$14,671,500	\$0	\$1,841,099	\$12,830,401	Not Applicable
Foundation for the National Institutes of Health, Inc. 9650 Rockville Pike Bethesda, MD 20814 <i>Support for the Fogarty International Center's efforts to address global health challenges through innovative and collaborative research and training programs that build global health research capacity</i> \$5,000.00 2009	501(c)3	\$0	\$5,000	\$0	\$5,000	\$0	Not Applicable
Global Health Council Inc 15 Railroad Row White River Junction, VT 05001 <i>Memberships</i> \$3,150.00 2009	501(c)3	\$0	\$3,150	\$0	\$3,150	\$0	Not Applicable
Health Alliance International 1107 NE 45th St., Suite 427 Seattle, WA 98105 <i>Strengthening Integrated Primary Health Care and Workforce Training in Sofala Province, Mozambique</i> \$10,000,000.00 2009	501(c)3	\$0	\$10,000,000	\$0	\$710,893	\$9,289,107	Not Applicable
National Academy of Sciences 500 Fifth Street NW Washington, DC 20001 <i>Institute of Medicine expert consensus committee study: Envisioning a Strategy to Prepare for the Long-Term Burden of HIV/AIDS: Global Needs and U.S. Interests.</i> \$50,000.00 2009	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR
Tides Center 1014 Torrey Avenue San Francisco, CA 94129 <i>Africa Grantmakers Affinity Group Membership</i> \$3,000.00 2009	501(c)3	\$0	\$3,000	\$0	\$3,000	\$0	Not Applicable
Board of Trustees of the University of Alabama Birmingham, AL 35233 <i>Clinical Mentoring and Community Engagement to Improve Millennium Development Goals Health Outcomes in Lusaka Province of Zambia</i> \$11,100,000.00 2009	501(c)3	\$0	\$11,100,000	\$0	\$1,028,856	\$10,071,144	Not Applicable
Total African Health		\$32,970	\$43,832,650	-\$32,970	\$4,620,017	\$39,212,633	

ARTS

DDCF ARTS Program <i>Fund for National Projects</i> \$3,000,000.00 2008	501(c)3	\$3,000,000	\$0	(\$1,075,650)	\$0	\$1,924,350	Not Applicable
Amherst H Wilder Foundation 451 Lexington Pkwy N Saint Paul, MN 55104-4636 <i>Creation of the Doris Duke Leaders in the Arts Fund to subsidize participation of performing arts leaders in the James P. Shannon Leadership Institute</i> \$50,000.00 2009	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0	Not Applicable
Arts Midwest 2908 Hennepin Ave Ste 200 Minneapolis, MN 55408-1987 <i>Professional development sessions at the 2009 Midwest Arts Confer</i> \$5,000.00 2009	501(c)3	\$0	\$5,000	\$0	\$5,000	\$0	Not Applicable
Asia Society 725 Park Avenue New York, NY 10021 <i>Support for programming and endowment funding through the Mid-Sized Presenting Organizations</i> \$1,000,000.00 2001	501(c)3	\$100,000	\$0	\$0	\$100,000	\$0	Not Applicable
Asian American-Pacific Islanders In Philanthropy 211 Sutter St Ste 600 San Francisco, CA 94108 <i>Membership</i> \$5,000.00 2009	501(c)3	\$0	\$5,000	\$0	\$5,000	\$0	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR
Association of Performing Arts Presenters, Inc. 1211 Connecticut Ave NW Washington, DC 20036 <i>General Operating support and building cash reserves</i> \$1,120,000.00	501(c)3	\$560,000	\$0	\$0	\$560,000	\$0	Not Applicable
2007							
Association of Performing Arts Presenters, Inc. 1211 Connecticut Ave NW Washington, DC 20036 <i>Creative Campus Innovations Grant Program</i> \$2,000,000.00	501(c)3	\$1,135,500	\$0	\$0	\$492,000	\$643,500	Not Applicable
2008							
Association of Performing Arts Presenters, Inc. 1211 Connecticut Ave NW Washington, DC 20036 <i>to provide core operating support grants to organizations selected to receive project re-grants in the 2010 funding round of the Creative Campus Innovations Program (Supplements DDCF Grant No. 2008102)</i> \$310,000.00	501(c)3	\$0	\$310,000	\$0	\$0	\$310,000	Not Applicable
2009							
August Wilson Ctr for African American Culture 425 6th Ave Ste 1750 Pittsburgh, PA 15219-1819 <i>National Black Theater Initiative Convening</i> \$100,000.00	501(c)3	\$0	\$0	\$100,000	\$100,000	\$0	Not Applicable
2009							
Chamber Music America 305 Seventh Avenue New York, NY 10001 <i>To Support Organizational Costs Associated with programs and services for the jazz field</i> \$400,000.00	501(c)3	\$200,000	\$0	\$0	\$200,000	\$0	Not Applicable
2007							
Chamber Music America 305 Seventh Avenue New York, NY 10001 <i>Doris Duke Jazz Ensembles</i> \$2,215,000.00	501(c)3	\$1,425,996	\$0	\$0	\$418,496	\$1,007,500	Not Applicable
2008							
Chamber Music America 305 Seventh Avenue New York, NY 10001 <i>to provide core operating support grants to organizations selected to receive presenting and residency re-grants in the 2009 - 2011 funding rounds of the Doris Duke Jazz Ensembles Project .</i> \$180,000.00	501(c)3	\$0	\$180,000	\$0	\$60,000	\$120,000	Not Applicable
2009							

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR
Trustees of Columbia University in the City of New York New York, NY <i>Jazz Composers Orchestra Institute</i> \$110,000.00 2009	501(c)3	\$0	\$0	\$110,000	\$47,000	\$63,000	Not Applicable
Creative Capital Foundation 65 Bleeker Street, 7th Floor New York, NY 10012 <i>To Support Organizational Costs Associated with programs and services for dance, jazz, presenting, and theater fields</i> \$330,000.00 2007	501(c)3	\$220,000	\$0	\$0	\$220,000	\$0	Not Applicable
Creative Capital Foundation 65 Bleeker Street, 7th Floor New York, NY 10012 <i>Multi Arts Production Fund (MAP); 2009064 - addl gen op for re-grants</i> \$3,300,000.00 2007	501(c)3	\$1,100,000	\$0	\$0	\$1,100,000	\$0	Not Applicable
Creative Capital Foundation 65 Bleeker Street, 7th Floor New York, NY 10012 <i>To provide re-grants and professional development programs for performing artists in contemporary dance, jazz, theater and live interdisciplinary art</i> \$1,200,000.00 2008	501(c)3	\$700,000	\$0	\$0	\$37,500	\$662,500	Not Applicable
Creative Capital Foundation 65 Bleeker Street, 7th Floor New York, NY 10012 <i>To provide core operating support grants to organizations selected to receive re-grants in the 2009 - 2010 funding rounds of the Multi-Arts Production Fund Program</i> \$427,800.00 2009	501(c)3	\$0	\$427,800	\$0	\$213,900	\$213,900	Not Applicable
Cleveland Modern Dance Association 13110 Shaker Sq Ste 106 Cleveland, OH 44120-2381 <i>Building a network of new dance presenters across America's heart</i> \$120,000.00 2009	501(c)3	\$0	\$0	\$120,000	\$40,000	\$80,000	Not Applicable
Dance USA 1111 16th Street, NW, Suite 300 Washington, DC 20036 <i>General operating support and to build cash reserves</i> \$500,000.00 2007	501(c)3	\$250,000	\$0	\$0	\$250,000	\$0	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR
Dance USA 1111 16th Street, NW, Suite 300 Washington, DC 20036 <i>Engaging Dance Audiences</i> \$1,500,000.00 2008	501(c)3	\$672,000	\$0	\$0	\$465,000	\$207,000	Not Applicable
Dance USA 1111 16th Street, NW, Suite 300 Washington, DC 20036 <i>to provide core operating support grants to organizations selected to receive project re-grants in the 2009 - 2010 funding rounds of the Engaging Dance Audiences Program.</i> \$255,750.00 2009	501(c)3	\$0	\$255,750	\$0	\$127,875	\$127,875	Not Applicable
Dance USA 1111 16th Street, NW, Suite 300 Washington, DC 20036 <i>Support for Dance/USA's internal leadership transition process</i> \$60,000.00 2009	501(c)3	\$0	\$60,000	\$0	\$60,000	\$0	Not Applicable
Emc Arts Inc 127 W 122nd St New York, NY 10027-5502 <i>Innovative Lab for the Performing Arts.</i> \$1,500,000.00 2008	501(c)3	\$380,000	\$0	\$0	\$380,000	\$0	Not Applicable
Emc Arts Inc 127 W 122nd St New York, NY 10027-5502 <i>to provide core operating support grants to organizations selected to receive project re-grants in the 2009 funding round of the Innovations Lab for the Performing Arts</i> \$93,000.00 2009	501(c)3	\$0	\$93,000	\$0	\$93,000	\$0	Not Applicable
Fractured Atlas Productions Inc 248 E 35th St Rm 1202 New York, NY 10001 <i>Development of ATHENA Tix: a new open source ticketing application for the national performing arts community</i> \$100,000.00 2009	501(c)3	\$0	\$0	\$100,000	\$100,000	\$0	Not Applicable
French American Cultural Exchange 972 5th Ave New York, NY 10075-0104 <i>Evaluatory meeting of the French American Jazz Exchange Program</i> \$49,507.55 2009	501(c)3	\$0	\$55,000	\$0	\$55,000	\$0	Not Applicable

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Grantmakers in the Arts 4055 21st Ave W, Ste 100 Seattle, WA 98119-1247 <i>Support for core programs and services and the 2009 annual confere</i> \$50,000.00	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0	Not Applicable
2009 Jazz Arts Group Of Columbus 939 N High St Columbus, OH 43201-2406 <i>Jazz Audiences Initiative</i> \$200,000.00	501(c)3	\$0	\$0	\$200,000	\$100,000	\$100,000	Not Applicable
2009 Jazz at Lincoln Center Inc. 33 West 60th Street New York, NY 10023 <i>Customer Relationship Management (CRM) Initiative</i> \$75,000.00	501(c)3	\$0	\$75,000	\$0	\$75,000	\$0	Not Applicable
2009 L. A Stage Alliance 644 S Figueroa St Los Angeles, CA 90017-3411 <i>Expansion of customer relationship management system</i> \$50,000.00	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0	Not Applicable
2009 Leveraging Investments in Creativity 237 W 35th St Ste 1202 New York, NY 10001 <i>National Artist Health Insurance Initiative</i> \$145,650.00	501(c)3	\$0	\$0	\$145,650	\$145,650	\$0	Not Applicable
2009 Mid Atlantic Arts Foundation Inc 201 North Charles St, Ste 401 Baltimore, MD 21201 <i>Jazz NEXT, a re-granting program that will support pilot projects that incorporate innovative technology and approaches to audience development, marketing, distribution networks, and communications in the jazz field</i> \$1,000,000.00	501(c)3	\$375,000	\$0	\$0	\$0	\$375,000	Not Applicable
2008 Mid Atlantic Arts Foundation Inc 201 North Charles St, Ste 401 Baltimore, MD 21201 <i>to provide core operating support grants to organizations selected to receive project re-grants in the 2009 and 2010 funding rounds of the Jazz Next Program.</i> \$210,800.00	501(c)3	\$0	\$210,800	\$0	\$105,400	\$105,400	Not Applicable

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National Association of Latino Arts and Culture 1204 Buena Vista St San Antonio, TX 78207 <i>To support programs and services for dance, jazz, presenting, and theater fields, and to build cash reserves</i> \$145,000.00 2007	501(c)3	\$72,500	\$0	\$0	\$72,500	\$0	Not Applicable
National Performance Network Inc 866 Camp St New Orleans, LA 70130 <i>General operating support</i> \$350,000.00 2007	501(c)3	\$175,000	\$0	\$0	\$175,000	\$0	Not Applicable
National Performance Network Inc 866 Camp St New Orleans, LA 70130 <i>Creation Fund: Performance Residency Program, Community Fund and Freight Fund; and the International Strategic Partnerships Program</i> \$3,527,186.00 2008	501(c)3	\$2,324,940	\$0	\$0	\$768,698	\$1,556,242	Not Applicable
National Performance Network Inc 866 Camp St New Orleans, LA 70130 <i>To support a Nonprofit Business Analysis</i> \$15,970.00 2009	501(c)3	\$0	\$15,970	\$0	\$15,970	\$0	Not Applicable
Network of Ensemble Theaters 1709 N Avenue 56 Los Angeles, CA 90042-1118 <i>Ensembles All-together Building Capacity (Ensembles ABC) Progr</i> \$150,000.00 2009	501(c)3	\$0	\$0	\$150,000	\$150,000	\$0	Not Applicable
New England Foundation for the Arts 145 Tremont St Fl 7 Boston, MA 02111-1214 <i>National Dance Project</i> \$5,800,000.00 2006	501(c)3	\$325,000	\$0	\$0	\$325,000	\$0	Not Applicable
New England Foundation for the Arts 145 Tremont St Fl 7 Boston, MA 02111-1214 <i>National Dance Project Convening</i> \$34,030.00 2009	501(c)3	\$0	\$34,030	\$0	\$34,030	\$0	Not Applicable

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New England Foundation for the Arts 145 Tremont St Fl 7 Boston, MA 02111-1214 <i>to provide core operating support grants to organizations selected to receive Production and Touring project re-grants in the 2009 funding round of the National Dance Project</i> \$295,740.00	501(c)3	\$0	\$295,740	\$0	\$295,740	\$0	Not Applicable
2009 Nonprofit Finance Fund 70 West 36th Street 11th Floor New York, NY 10018 <i>To Support programs and services for dance, presenting, and theater</i> \$755,000.00	501(c)3	\$377,500	\$0	\$0	\$377,500	\$0	Not Applicable
2007 Nonprofit Finance Fund 70 West 36th Street 11th Floor New York, NY 10018 <i>Leadership Arts Organizations Initiative</i> \$15,125,000.00	501(c)3	\$7,352,734	\$0	\$0	\$2,800,656	\$4,552,078	Not Applicable
2007 New York Shakespeare Festival 425 Lafayette Street New York, NY 10003 <i>Leading National Theatres Program</i> \$700,000.00	501(c)3	\$150,000	\$0	\$0	\$0	\$150,000	Not Applicable
2004 Playwrights Horizons Inc. 416 West 42nd Street New York, NY 10036 <i>General Operating Support</i> \$50,826.63	501(c)3	\$0	\$50,827	\$0	\$50,827	\$0	DDCF Trustee Hide Zeitlin is on the board of Playwright's Horizon
2009 Theatre Communications Group Inc. 520 8th Avenue, 24th floor New York, NY 10018-4156 <i>General operating support and building cash reserves</i> \$1,400,000.00	501(c)3	\$700,000	\$0	\$0	\$700,000	\$0	Not Applicable
2007 Theatre Communications Group Inc. 520 8th Avenue, 24th floor New York, NY 10018-4156 <i>New Generations Program</i> \$2,500,000.00	501(c)3	\$0	\$2,500,000	\$0	\$1,250,000	\$1,250,000	Not Applicable

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Theatre Communications Group Inc. 520 8th Avenue, 24th floor New York, NY 10018-4156 <i>to provide core operating support grants to organizations selected to receive project re-grants in the 2009 - 2011 funding rounds of the New Generations Program.</i> \$711,746.00 2009	501(c)3	\$0	\$711,746	\$0	\$354,085	\$357,661	Not Applicable
Youth Speaks Inc 290 Divison St Ste 302 San Francisco, CA 94103-0000 <i>The LIFE Branding Initiative</i> \$150,000.00 2009	501(c)3	\$0	\$0	\$150,000	\$100,000	\$50,000	Not Applicable
		Total Arts	\$5,435,663	\$0	\$13,175,827	\$13,856,006	

CHILD ABUSE PREVENTION PROGRAM

National Foundation for Centers for Disease Control & Prevention 55 Park Pl Ste 400 Atlanta, GA 30303 <i>Building state-based public health leadership to prevent child maltreatment</i> \$1,226,179.00 2008	501(c)3	\$500,000	\$0	\$0	\$0	\$500,000	Not Applicable
Center for the Study of Social Policy 1575 Eye Street NW, Suite 500 Washington, DC 20005 <i>Quality Improvement Center (QIC) on Early Childhood (formerly National QIC on Prevention of Child Abuse and Neglect of Infant and Young Children).</i> \$289,351.00 2008	501(c)3	\$89,351	\$0	\$0	\$0	\$89,351	Not Applicable
Center for the Study of Social Policy 1575 Eye Street NW, Suite 500 Washington, DC 20005 <i>Strengthening Families Leadership Summit</i> \$34,750.00 2009	501(c)3	\$0	\$34,750	\$0	\$34,750	\$0	Not Applicable
Chapin Hall Center For Children 1313 E 60th St Chicago, IL 60637-2830 <i>Doris Duke Fellowships for the Prevention of Child Abuse and Neglect</i> \$1,500,000.00 2009	501(c)3	\$0	\$1,500,000	\$0	\$0	\$1,500,000	Not Applicable
Erikson Institute 451 N LaSalle St Chicago, IL 60654 <i>To expand the work of The Fussy Baby Network.</i> \$1,384,812.00 2009	501(c)3	\$0	\$1,384,812	\$0	\$600,000	\$784,812	Not Applicable

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Family Violence Prevention Fund 383 Rhoads Island St. Ste 304 San Francisco, CA 94103 <i>Exploration of primary prevention opportunities in child abuse and neglect and intimate partner violence</i> \$25,000.00 2009	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0	Not Applicable
Friends of Children Trust Fund Inc 55 Court St Fl 4 Boston, MA 02108-2104 <i>Early Childhood Initiative - Phase 2</i> \$1,698,000.00 2008	501(c)3	\$698,000	\$0	\$0	\$400,000	\$298,000	Not Applicable
Georgia State University Research Foundation Inc Po Box 3999 Atlanta, GA 30302-3999 <i>SafeCare Training and Resource Center</i> \$1,321,403.00 2007	501(c)3	\$293,416	\$0	\$0	\$0	\$293,416	Not Applicable
Grantmakers for Children, Youth and Families 8757 Georgia Avenue, Suite 540 Silver Spring, MD 20910 <i>Early Childhood Funders Collaborative membership</i> \$4,000.00 2009	501(c)3	\$0	\$4,000	\$0	\$4,000	\$0	Not Applicable
National Alliance of Child Abuse Prevention Funds 5712 30th Avenue NE Seattle, WA 98105 <i>To develop education and communications strategies and materials</i> \$95,000.00 2009	501(c)3	\$0	\$95,000	\$0	\$95,000	\$0	Not Applicable
The Pew Charitable Trusts 2005 Market St Ste 1700 Philadelphia, PA 19103-7017 <i>Home Visiting Research Initiative</i> \$1,885,962.00 2008	501(c)3	\$585,962	\$0	\$0	\$0	\$585,962	Not Applicable
Prevent Child Abuse America 228 S Wabash Ave fl 10 Chicago, IL 60604 <i>To develop education and communications strategies and materials</i> \$100,000.00 2009	501(c)3	\$0	\$100,000	\$0	\$100,000	\$0	Not Applicable
Prevent Child Abuse America 228 S Wabash Ave fl 10 Chicago, IL 60604 <i>Support for a national public education campaign to raise public awareness about the prevention of child maltreatment</i> \$494,032.00 2009	501(c)3	\$0	\$494,032	\$0	\$250,000	\$244,032	Not Applicable

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Trustees of Princeton University Princeton, NJ 08544 <i>Future of Children Journal: Preventing Child Abuse and Neglect</i> \$209,941.00 2007	501(c)3	\$99,941	\$0	\$0	\$99,941	\$0	Not Applicable
RAND Corporation 1776 Main St Santa Monica, CA 90407-2138 <i>Promising Practices Network child abuse prevention research disse</i> \$10,745.27 2008	501(c)3	\$0	\$0	\$0	\$0	\$0	Not Applicable
United States Fund for UNICEF 125 Maiden Lane New York, NY 10038 <i>Unite for Children. Unite against AIDS campaign</i> \$10,000.00 2009	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0	Not Applicable
United Way Worldwide 701 N Fairfax St Alexandria, VA 22314 <i>Child abuse prevention and family strengthening</i> \$1,835,585.00 2007	501(c)3	\$835,585	\$0	\$0	\$500,000	\$335,585	Not Applicable
University of North Carolina at Chapel Hill Chapel Hill, NC 27599 <i>Preventing Shaken Baby Syndrome and Infant Abuse: The Period of PURPLE Crying Program</i> \$2,146,012.00 2007	501(c)3	\$256,012	\$0	\$0	\$256,012	\$0	Not Applicable
University of North Carolina at Chapel Hill Chapel Hill, NC 27599 <i>PREVENT Child Maltreatment Institute (PCMI)</i> \$666,670.00 2007	501(c)3	\$266,670	\$0	\$0	\$266,670	\$0	Not Applicable
University of Wisconsin Foundation 1848 University Ave. PO Box 8860 Madison, WI 53708-8860 <i>Improving Child Neglect Prevention through Enhanced Measurement and Assessment Strategies</i> \$594,049.00 2008	501(c)3	\$374,049	\$0	\$0	\$200,000	\$174,049	Not Applicable
Urban Institute 2100 M Street NW Washington, DC 20037 <i>Preventing Child Abuse and Neglect by Linking Depressed Mothers to Effective Services</i> \$400,000.00 2008	501(c)3	\$150,000	\$0	\$0	\$0	\$150,000	Not Applicable

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Zero to Three: National Center for Infants, Toddlers and Families 2000 M Street NW, Suite 200 Washington, DC 20037 <i>"Promoting Responsive Relationships" project, which seeks to change practice in child care through training with the Preventing Child Abuse and Neglect curriculum</i> \$1,578,737.00 2009	501(c)3	\$0	\$1,578,737	\$0	\$800,000	\$778,737	Not Applicable
Climate Change							
Total Child Abuse Prevention		\$4,148,986	\$5,226,331	\$0	\$3,641,373	\$5,733,944	
Carnegie Mellon University 5000 Forbes Avenue Pittsburgh, PA 15213 <i>Regulation of Capture and Deep Geological Sequestration of Carbon Dioxide</i> \$1,850,000.00 2007	501(c)3	\$378,000	\$0	\$0	\$378,000	\$0	Not Applicable
Carnegie Mellon University 5000 Forbes Avenue Pittsburgh, PA 15213 <i>Facilitate the large-scale adoption of variable and intermittent sources of renewable electricity</i> \$800,000.00 2009	501(c)3	\$0	\$800,000	\$0	\$0	\$800,000	Not Applicable
Clean Air Cool Planet Inc 100 Market St Ste 204 Portsmouth, NH 03801 <i>Laying a path for international cooperation on climate change R&L</i> \$66,100.00 2009	501(c)3	\$0	\$66,100	\$0	\$66,100	\$0	Not Applicable
Clean Air Task Force Inc. 18 Tremont St. Ste 530 Boston, MA 02108 <i>To synthesize key findings and research on key climate technology innovation principles</i> \$100,000.00 2009	501(c)3	\$0	\$100,000	\$0	\$100,000	\$0	Not Applicable
Consultative Group on Biological Diversity Presidio Building 1016, PO Box 29361 San Francisco, CA 94129-0361 <i>Membership in the Energy and Climate workgroup</i> \$10,000.00 2009	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0	Not Applicable

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Council on Foreign Relations Inc 58 E 68th St New York, NY 10065-5953 <i>Project on low-carbon technology transfer and the major emerging economies</i> \$350,000.00 2009	501(c)3	\$0	\$350,000	\$0	\$350,000	\$0	Not Applicable
Council on Foreign Relations Inc 58 E 68th St New York, NY 10065-5953 <i>Climate Change Symposium</i> \$20,000.00 2009	501(c)3	\$0	\$20,000	\$0	\$20,000	\$0	Not Applicable
Duke University Box 90329 Durham, NC 27708-0329 <i>To support the Carbon Market Initiative (CMI) research that will inform design of an efficient and a transparent, carbon market and regulatory structure.</i> \$301,727.00 2009	501(c)3	\$0	\$301,727	\$0	\$301,727	\$0	Not Applicable
Ecologic Institute 1630 Connecticut Ave., NW, Suite 300 Washington, DC 20009 <i>An assessment of current proposals on the transfer of climate technology in the international climate negotiations</i> \$75,264.00 2009	501(c)3	\$0	\$75,264	\$0	\$75,264	\$0	Not Applicable
The Energy Foundation 1012 Torney Ave #1 San Francisco, CA 94129 <i>to promote the deployment of clean energy technologies that enable economic growth with big reductions in global warming pollution</i> \$21,000,000.00 2007	501(c)3	\$7,000,000	\$0	\$0	\$7,000,000	\$0	Not Applicable
President and Fellows of Harvard College Boston, MA <i>Energy Technology Innovation for Meeting the Climate-Change Challenge: Planning for the Effective Use of Increased Resources</i> 2007	501(c)3	\$869,000	\$0	\$0	\$491,000	\$378,000	DDCF Trustee Nannerl Keohane is on the Board of Harvard University
President and Fellows of Harvard College Boston, MA <i>Energy Technology Innovation for Meeting the Climate-Change Challenge: Planning for the Effective Use of Increased Resources</i> \$600,000.00 2009	501(c)3	\$0	\$600,000	\$0	\$600,000	\$0	DDCF Trustee Nannerl Keohane is on the Board of Harvard University

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Massachusetts Institute of Technology Cambridge, MA <i>Accelerating US Energy Innovation</i> 2007	501(c)3	\$703,000	\$0	\$0	\$703,000	\$0	Not Applicable
Natural Resources Defense Council Inc 40 W 20th St FL 11, NY, NY 10011 <i>Analysis of how a newly proposed Clean Energy Deployment Administration could work effectively to speed deployment of clean energy technologies by providing affordable financing.</i> \$85,000.00 2009	501(c)3	\$0	\$85,000	\$0	\$85,000	\$0	Not Applicable
Institute For International Economics Washington, DC 20036-1903 <i>The Coming Climate Change Regime and International Trade</i> \$1,500,000.00 2008	501(c)3	\$500,000	\$0	\$0	\$500,000	\$0	Not Applicable
Institute For International Economics 1750 Massachusetts Ave NW Washington, DC 20036-1903 <i>International financing for low-carbon growth</i> \$400,000.00 2009	501(c)3	\$0	\$400,000	\$0	\$400,000	\$0	Not Applicable
Resources for the Future, Inc. 1616 P Street NW Washington, DC 20036 <i>To conduct work on the distributional consequences and equity considerations of U.S. climate policy and on reducing tropical deforestation through U.S. climate policy.</i> \$598,227.00 2009	501(c)3	\$0	\$598,227	\$0	\$598,227	\$0	Not Applicable
United Nations Foundation 1800 Massachusetts Ave NW 4 Flr Washington, DC 20036-1806 <i>Research and analysis to develop "Climate Innovation Centers" for consideration at the UN climate conference</i> \$100,000.00 2009	501(c)3	\$0	\$100,000	\$0	\$100,000	\$0	Not Applicable
Regents of the University of California at Berkeley Berkeley, CA 94704 <i>Conference on technology transfer and intellectual property rights in the UN climate negotiations</i> \$20,000.00 2009	501(c)3	\$0	\$20,000	\$0	\$20,000	\$0	Not Applicable
World Resources Institute 10 G Street NE Washington, DC 20002 <i>To support research and analysis on U.S. climate policy design</i> \$750,000.00 2009	501(c)3	\$0	\$750,000	\$0	\$400,000	\$350,000	Not Applicable

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Yale University New Haven, CT 06520-8047 <i>The 2009 Renewable Energy and International Law Project Roundtable</i> \$19,465.81 2009	501(c)3	\$0	\$20,000	\$0	\$20,000	\$0	Not Applicable
Environment		\$9,450,000	\$4,296,318	\$0	\$12,218,318	\$1,528,000	
American Planning Association 122 South Michigan Avenue, Suite 1600 Chicago, IL 60603 <i>A census of planning in communities, counties and regions that contain priority conservation areas for wildlife habitat protection</i> \$50,000.00 2009	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0	Not Applicable
Americas Wildlife Association For Resource Education AWARE 444 North Capitol Street NW, Suite 725 Washington, DC 20001 <i>Improving State Wildlife Action Plan implementation</i> \$800,000.00 2009	501(c)3	\$0	\$800,000	\$0	\$200,000	\$600,000	Not Applicable
Conservation Resources Inc. 100 North Road, Suite 2, PO Box 594 Chester, NJ 07930 <i>Provide continued funding for the Raritan Piedmont Wildlife Habitat Partnership</i> \$300,000.00 2009	501(c)3	\$0	\$300,000	\$0	\$150,000	\$150,000	Not Applicable
Consultative Group on Biological Diversity Presidio Building 1016, PO Box 29361 San Francisco, CA 94129-0361 <i>Memberships</i> \$30,000.00 2009	501(c)3	\$0	\$30,000	\$0	\$30,000	\$0	Not Applicable
Defenders of Wildlife 1130 Seventeenth Street NW Washington, DC 20036-4604 <i>Promoting State Wildlife Action Plans to address the impacts of global warming and develop a national network of conservation lands</i> \$1,200,001.00 2009	501(c)3	\$0	\$1,200,001	\$0	\$300,001	\$900,000	Not Applicable
Environmental Defense Incorporated 257 Park Avenue South, 16th Floor New York, NY 10010 <i>Integrating State Wildlife Action Plans into implementation of conservation incentive programs for private lands</i> \$2,000,000.00 2009	501(c)3	\$0	\$2,000,000	\$0	\$500,000	\$1,500,000	Son of DDCF Trustee Nannerl Keohane is on EDF's staff

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Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR
Funders Network For Smart Growth & Livable Communities 1500 Sun Remo Ave Ste 249 Coral Gables, FL 33146-3047 <i>Membership</i> \$10,000.00 2009	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0	Not Applicable
Iowa Natural Heritage Foundation 505 Fifth Ave., Ste 444 Des Moines, IA 50309 <i>Upper Midwest wildlife habitat protection initiative</i> \$10,800,000.00 2007	501(c)3	\$1,072,695	\$0	\$0	\$1,072,695	\$0	Not Applicable
Land Trust Alliance Incorporated 1660 L Street NW, Suite 1100 Washington, DC 20036 <i>To prepare land trusts for accreditation and to ensure the long term success of the accreditation program</i> \$1,000,000.00 2009	501(c)3	\$0	\$1,000,000	\$0	\$250,000	\$750,000	Not Applicable
National Wildlife Federation 11100 Wildlife Center Drive Reston, VA 20190 <i>Protect wildlife and wildlife habitats from the combined effects of global warming and habitat destruction</i> \$1,200,281.00 2009	501(c)3	\$0	\$1,200,281	\$0	\$300,000	\$900,281	Not Applicable
The Nature Conservancy, Inc. 4245 North Fairfax Drive, Suite 100 Arlington, VA 22203-1606 <i>Implementation of State Wildlife Action Plans in AZ, CO, NM, WY, & VT</i> \$13,000,000.00 2007	501(c)3	\$2,150,000	\$0	\$0	\$2,150,000	\$0	Not Applicable
The Nature Conservancy, Inc. 4245 North Fairfax Drive, Suite 100 Arlington, VA 22203-1606 <i>Pacific Northwest Land Protection Initiative</i> \$7,000,000.00 2008	501(c)3	\$4,400,000	\$0	\$0	\$2,500,000	\$1,900,000	Not Applicable
The Nature Conservancy, Inc. 4245 North Fairfax Drive, Suite 100 Arlington, VA 22203-1606 <i>Linking wetlands mitigation with state wildlife plans</i> \$185,000.00 2009	501(c)3	\$0	\$185,000	\$0	\$100,000	\$85,000	Not Applicable
NatureServe 1101 Wilson Boulevard, Arlington, VA 22209 <i>Creating a national wildlife conservation system by improving and promoting state wildlife action plans</i> \$600,000.00 2009	501(c)3	\$0	\$600,000	\$0	\$150,000	\$450,000	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL
APPROVED FOR FUTURE PAYMENT
SHOW ANY RELATIONSHIP TO A
FOUNDATION MANAGER OR
SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR
Open Space Conservancy Inc 1350 Broadway, Suite 201 New York, NY 10018 <i>Land protection initiative to assist with implementation of the State Wildlife Action Plans in MA, ME, and NH</i> \$6,000,000.00	501(c)3	\$3,600,000	\$0	\$0	\$2,400,000	\$1,200,000	Not Applicable
2008							
Open Space Institute Inc 1350 Broadway Rm 201 New York, NY 10018-0983 <i>Outdoors America Campaign - Research and education to assist with the establishment of a fully-funded land and water conservation fund</i> \$100,000.00	501(c)3	\$0	\$100,000	\$0	\$100,000	\$0	Not Applicable
2009							
Regional Plan Association Inc 4 Irving Place, 7th Floor New York, NY 10003 <i>Implementing State Wildlife Action Plans in the Northeast Megaregion through Land Use Planning</i> \$400,000.00	501(c)3	\$0	\$400,000	\$0	\$170,000	\$230,000	Not Applicable
2009							
Theodore Roosevelt Conservation Partnership Inc 555 11th Street, NW, 6th floor Washington, DC 20004 <i>Educate sportsmen on how State Wildlife Action Plans will be a key factor in maintaining their future hunting and fishing opportunities</i> \$399,690.00	501(c)3	\$0	\$399,690	\$0	\$100,000	\$299,690	Not Applicable
2009							
The Trust for Public Land 116 New Montgomery St., 4th Floor San Francisco, CA 94105 <i>To research how Clean Water State Revolving Funds could be accessed for land conservation</i> \$75,000.00	501(c)3	\$0	\$75,000	\$0	\$75,000	\$0	Not Applicable
2009							
The Trust for Public Land 116 New Montgomery St., 4th Floor San Francisco, CA 94105 <i>Greenprinting as a strategy for implementing state wildlife action plan conservation priorities</i> \$660,000.00	501(c)3	\$0	\$600,000	\$0	\$250,000	\$350,000	Not Applicable
2009							
Wildlife Conservation Society 2300 Southern Boulevard Bronx, NY 10460 <i>Wildlife Action Opportunities Fund Re-granting Program</i> \$4,400,109.00	501(c)3	\$4,400,109	\$0	\$0	\$2,200,055	\$2,200,054	Not Applicable
2008							

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Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR
Woodrow Wilson National Fellowship Foundation 5 Vaughn Drive, Suite 300 Princeton, NJ 08540 <i>Doris Duke Conservation Fellows Program</i> \$2,849,297.00	501(c)3	\$1,081,467	\$0	\$0	\$0	\$1,081,467	Not Applicable
2008 Barnard College 3009 Broadway New York, NY 10027-6909 <i>Diana Center green roof project.</i> \$50,413.31	501(c)3	\$0	\$50,413	\$0	\$50,413	\$0	The wife of DDCF Trustee Peter Nadosyn is a Trustee of Barnard College
2009 Common Ground Community Housing Development Fund Corporation Inc 505 Eighth Ave New York, NY 10018 <i>Green Campaign</i> \$50,413.32	501(c)3	\$0	\$50,413	\$0	\$50,413	\$0	DDCF Trustee Jide Zeitlin is on the Board of Common Ground
2009 Common Ground Community Housing Development Fund Corporation Inc 505 Eighth Ave New York, NY 10018 <i>Green Campaign</i> \$50,000.00	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0	DDCF Trustee Jide Zeitlin is on the Board of Common Ground
2009 Environmental Defense Incorporated 257 Park Avenue South, 16th Floor New York, NY 10010 <i>work toward finding a global climate solution and protecting land and freshwater ecosystems</i> \$20,000.00	501(c)3	\$0	\$20,000	\$0	\$20,000	\$0	The son of DDCF Trustee Nannerl Koehane is staff at EDF
2009 The Nature Conservancy, Inc. 4245 North Fairfax Drive, Suite 100 Arlington, VA 22203-1606 <i>To protect the Quicksand Pond Conservation Area</i> \$50,000.00	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0	Not Applicable
Total Environment							\$12,596,492
Medical Research Yeshiva University 1300 Morris Park Avenue Bronx, NY 10461 <i>Early Identification of Drug-resistant TB in South Africa</i> \$405,000.00	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
2007							

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR
Yeshiva University 1,300 Morris Park Avenue Bronx, NY 10461 <i>Rapid Diagnosis of Drug-Resistant TB in South Africa</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Yeshiva University 1,300 Morris Park Avenue Bronx, NY 10461 <i>Clinical Scientist Development Award Bridge Grant: Impact of HIV Antiretroviral Therapy and TB Genotype on Survival in Multidrug Resistance</i> \$50,000.00 2009	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0	Not Applicable
Baylor College of Medicine Houston, TX 77030 <i>Genetic Determinants of Tracheoesophageal Defects</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Baylor College of Medicine Houston, TX 77030 <i>Controlled Trial of Goal-Setting for Diabetes Control in Minority C</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
Both Israel Deaconess Medical Center Boston, MA 02215 <i>Genetic determinants of disorders of neural stem cell proliferation</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Brigham & Women's Hospital Inc 75 Francis Street Boston, MA 02115 <i>Ageing and Longevity Genes in COPD</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Brigham & Women's Hospital Inc 75 Francis Street Boston, MA 02115 <i>Clinical development of histone deacetylase inhibitors for the treatment of sickle cell disease</i> \$486,000.00 2009	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000	Not Applicable
Broad Institute Inc 7 Cambridge Cir Cambridge, MA 02142-1401 <i>Discovery and clinical application type 2 diabetes genes</i> \$1,500,000.00 2006	501(c)3	\$500,000	\$0	\$0	\$500,000	\$0	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR
Broad Institute Inc 7 Cambridge Ctr Cambridge, MA 02142-1401 <i>Whole-exome re-sequencing in sickle cell disease patients with extremely mild clinical courses</i> \$486,000.00 2009	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000	Not Applicable
Brown University Providence, RI 02912 <i>Neural Interfaces for Restoration of Function After Paralysis</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
Case Western Reserve University Cleveland, OH 44106 <i>Mobile ARV pharmacy at TREAT sites in rural Uganda</i> \$200,000.00 2007	501(c)3	\$50,000	\$0	\$0	\$50,000	\$0	Not Applicable
Case Western Reserve University Cleveland, OH 44106 <i>Community-Based Case Finding of TB-HIV patients</i> \$200,000.00 2007	501(c)3	\$50,000	\$0	\$0	\$50,000	\$0	Not Applicable
Clinical Research Foundation Inc 1350 Connecticut Ave NW Washington, DC 20036 <i>Annual Meeting</i> \$10,000.00 2009	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0	Not Applicable
Trustees of Columbia University in the City of New York New York, NY <i>Identification of Novel Breast Cancer Susceptibility Genes</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Trustees of Columbia University in the City of New York New York, NY <i>Novel Approaches to Bacterial Vaginosis: Diagnostics and Risk Factors</i> \$405,000.00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable
Trustees of Columbia University in the City of New York New York, NY <i>Language Deficits in Autism: Image-Guided Non-Invasive Brain St</i> \$405,000.00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable
Dana-Farber Cancer Institute 44 Binney Street Boston, MA 02115 <i>Translational Studies Based on Tumor Suppressor Proteins</i> \$1,500,000.00 2006	501(c)3	\$500,000	\$0	\$0	\$500,000	\$0	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR
Dana-Farber Cancer Institute 44 Binney Street Boston, MA 02115 <i>RNAi factor function in chronic lymphocytic leukemia</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Dana-Farber Cancer Institute 44 Binney Street Boston, MA 02115 <i>Selective Inhibition of HDAC1 and HDAC2 in Sickle Cell Disease</i> \$486,000.00 2009	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000	Not Applicable
Duke University 108 Seeley G. Mudd Building Durham, NC 27710 <i>Targeted NF-κB Inhibition in Molecular Subgroups of Patients with Lymphoma</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	DDCF Trustee Nannerl Koehane is President-Emeritus of Duke University
Duke University 108 Seeley G. Mudd Building Durham, NC 27710 <i>A New Locus for Familial FSGS & GWAS Study of Childhood Nephrotic Syndrome</i> \$405,000.00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	DDCF Trustee Nannerl Koehane is President-Emeritus of Duke University
Duke University 108 Seeley G. Mudd Building Durham, NC 27710 <i>the Duke Medical Annual Fund</i> \$10,000.00 2009	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0	DDCF Trustee Nannerl Koehane is President-Emeritus of Duke University
Emory University Atlanta, GA 30322 <i>Molecular predictors of Peripartum Depression</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Foundation for the National Institutes of Health, Inc. 9650 Rockville Pike Bethesda, MD 20814 <i>2009 Clinical Investigator Student Trainee Forum</i> \$25,300.00 2009	501(c)3	\$0	\$25,300	\$0	\$25,300	\$0	Not Applicable
Health Research Alliance Inc. 21 T W Alexander Dr Research Triangle Park, NC 27709 <i>Membership</i> \$5,000.00 2009	501(c)3	\$0	\$5,000	\$0	\$5,000	\$0	Not Applicable

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Johns Hopkins University Baltimore, MD 21205 <i>Telomerase and the Genetics of Idiopathic Pulmonary Fibrosis</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Johns Hopkins University Baltimore, MD 21205 <i>Frailty in Elderly Patients Considering Kidney Transplantation</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
Johns Hopkins University Baltimore, MD 21205 <i>Advanced Glycation End Products Induce Endothelial Mesenchymal Transform.</i> \$405,000.00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable
General Hospital Corporation, dba Mass General Hosp 55 Fruit Street, Boston MA 02114 <i>Pharmacogenetic Evaluation of Insulin Resistance Genes in Human</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
General Hospital Corporation, dba Mass General Hosp 55 Fruit Street, Boston MA 02114 <i>Understanding Adaptive Mechanisms that Generate Drug-Resistant</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
General Hospital Corporation, dba Mass General Hosp 55 Fruit Street, Boston MA 02114 <i>Innate immunity in HIV-1 infection</i> \$1,500,000.00 2008	501(c)3	\$375,000	\$0	\$0	\$0	\$375,000	Not Applicable
General Hospital Corporation, dba Mass General Hosp 55 Fruit Street, Boston MA 02114 <i>Stem cell characteristics of HIV-1-specific T cells</i> \$405,000.00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable
Memorial Sloan-Kettering Cancer Center 1275 York Avenue New York, NY 10021 <i>Determinants of Response to Targeted Therapy in Glioblastoma</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
Memorial Sloan-Kettering Cancer Center 1275 York Avenue New York, NY 10021 <i>Analysis of the phosphatase PTPRD, a widely inactivated tumor suppressor in glioma</i> \$405,000.00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

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Oxfam-America Inc 226 Causeway St., 5th Floor Boston, MA 02114 <i>Climate Change work and HIV/AIDS programming in Southern Africa</i> \$20,000.00 2009	501(c)3	\$0	\$20,000	\$0	\$20,000	\$0	Not Applicable
Rockefeller University 1230 York Avenue, New York, NY 10021 <i>Characterization of Inflammatory Dendritic Cells in Psoriasis</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
Southwestern Medical Foundation 2305 Cedar Springs Rd Ste 150, Dallas, TX 75201-7805 <i>Genetics of Adult-Onset Pulmonary Fibrosis</i> \$405,000.00 2009	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
Southwestern Medical Foundation 2305 Cedar Springs Rd Ste 150, Dallas, TX 75201-7805 <i>Determining cellular immune responses against aquaporin 4 in patients with neuromyelitis optica</i> \$405,000.00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable
Southwestern Medical Foundation 2305 Cedar Springs Rd Ste 150, Dallas, TX 75201-7805 <i>PPAST: Patent Foremen Ovale and Stroke in Sickle Cell Disease</i> \$486,000.00 2009	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000	Not Applicable
Board of Trustees of the Leland Stanford Junior University Stanford, CA <i>Microbial ecology of the human intestinal tract</i> \$1,500,000.00 2006	501(c)3	\$500,000	\$0	\$0	\$500,000	\$0	Not Applicable
Board of Trustees of the Leland Stanford Junior University Stanford, CA <i>Novel Markers of Pediatric Sarcoma Aggressiveness</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Board of Trustees of the Leland Stanford Junior University Stanford, CA <i>Prognostic Genetics of Chromosome Arm 18q Aberrations in Colorectal Cancer</i> \$405,000.00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable
Universities Allied For Essential Medicines Corp 2625 Alcatraz Ave #180 Berkeley, CA 94618 <i>Annual conference</i> \$10,000.00 2009	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0	Not Applicable

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Board of Trustees of the University of Alabama Birmingham, AL 35233 <i>Long Term Risks and Extra-skeletal Benefits of Biologics</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Board of Trustees of the University of Alabama Birmingham, AL 35233 <i>Impact of HAART to prevent pediatric AIDS in rural Zambia</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Board of Trustees of the University of Alabama Birmingham, AL 35233 <i>Abnormalities of glutamate transporter localization in schizophrenia</i> \$405,000.00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable
Regents University Of California Los Angeles Los Angeles, CA 90095 <i>Disorders of Sex Development</i> \$1,500,000.00 2008	501(c)3	\$1,500,000	\$0	\$0	\$1,125,000	\$375,000	Not Applicable
Regents University Of California Los Angeles Los Angeles, CA 90095 <i>Beta-globin gene correction in hematopoietic stem cells for sickle cell disease</i> \$486,000.00 2009	501(c)3	\$0	\$486,000	\$0	\$270,900	\$215,100	Not Applicable
Regents of the University of California Neuroimaging of Locus Coeruleus Modulation for Cognitive Disability in Schizophrenia \$405,000.00 2009		\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable
Regents of the University of California San Francisco, CA 94118 <i>Pharmacogenomics of Antimalarial Drugs</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Regents of the University of California San Francisco, CA 94118 <i>Immunologic Determinants of CD4+ T Cell Recovery in Treated HIV+ Africans</i> \$270,000.00 2008	501(c)3	\$135,000	\$0	(\$135,000)	\$0	\$0	Not Applicable
University of Chicago Chicago, IL 60637 <i>Epigenetics and Genetics of Heart Failure</i> \$1,500,000.00 2006	501(c)3	\$500,000	\$0	\$0	\$500,000	\$0	Not Applicable

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			2009	Amended 2009			
University of Chicago Chicago, IL 60637 <i>The Role of the Pro-Inflammatory S100 Proteins in Acute Coronary</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
University of Iowa Foundation Po Box 4550 Iowa City, IA 52244-4550 <i>Molecular Biology of Skeletal Muscle Atrophy</i> \$405,000.00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable
University of Kentucky Research Foundation 301 Peterson Service Building Lexington, KY 40506-0001 <i>Short non-interfering RNAs as novel therapies for age-related macular degeneration</i> \$1,500,000.00 2008	501(c)3	\$375,000	\$0	\$0	\$0	\$375,000	Not Applicable
University of Maryland, Baltimore Baltimore, MD 21201 <i>Genetic Diversity and Malaria Vaccine Efficacy</i> \$1,500,000.00 2006	170(c)	\$500,000	\$0	\$0	\$500,000	\$0	Not Applicable
University of Maryland, Baltimore Baltimore, MD 21201 <i>Schistosoma-mediated Resistance to Malaria</i> \$405,000.00 2007	170(c)	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
University Of Maryland Baltimore Foundation Inc 660 W Redwood St Ste 021 Baltimore, MD 21201-1541 <i>The Molecular Epidemiology of Malaria During Pregnancy</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
University of Miami Miami, FL 33136 <i>Therapies for Hormone Resistant Breast Cancer</i> \$1,500,000.00 2006	501(c)3	\$500,000	\$0	\$0	\$0	\$500,000	Not Applicable
Regents of the University of Michigan Ann Arbor, MI 48109-1115 <i>New treatment options for childhood genetic kidney diseases</i> \$1,500,000.00 2006	501(c)3	\$500,000	\$0	\$0	\$500,000	\$0	Not Applicable
Regents of the University of Michigan Ann Arbor, MI 48109-1115 <i>HDL, Atherosclerosis and Chronic Kidney Disease</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable

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							\$0
Regents of the University of Michigan Ann Arbor, MI 48109-1115 <i>Misoprostol as a risk factor in clostridial endometritis</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Regents of the University of Michigan Ann Arbor, MI 48109-1115 <i>Maternal Adiposity, Placental Development, and Fetal Growth</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Regents of the University of Michigan Ann Arbor, MI 48109-1115 <i>Searching for Recurrent Gene Fixations and Translocations in Common Solid Tumors</i> \$1,500,000.00 2008	501(c)3	\$375,000	\$0	\$0	\$0	\$375,000	Not Applicable
Trustees of the University of Pennsylvania 3451 Walnut Street, Room P221 Philadelphia, PA 19104-6205 <i>HAART and Clearance of C. Neofornans from CSF in Patients with Cryptococcal Meningitis</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
Trustees of the University of Pennsylvania 3451 Walnut Street, Room P221 Philadelphia, PA 19104-6205 <i>Quality of cardiac arrest resuscitation care and effects on post-reperfusion pathophysiology</i> \$405,000.00 2008	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable
University of Texas Foundation PO Box 250 Austin, TX 78767-0250 <i>Immunosuppression in lymphoma tumor microenvironment</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
University of Texas Foundation PO Box 250 Austin, TX 78767-0250 <i>Blockade of CTLA-4 to induce effective anti-tumor immune responses in cancer patients</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
University of Texas Foundation PO Box 250 Austin, TX 78767-0250 <i>Immunogenetic rheostats of HIV-1 transmission, keys for vaccine development</i> \$1,500,000.00 2008	501(c)3	\$375,000	\$0	\$0	\$0	\$375,000	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR
University of Texas Southwestern Medical Center at Dallas 5323 Harry Hines Blvd. Dallas, TX 75390 <i>Targeting the mTOR pathway in Renal-Cell Carcinoma</i> \$405,000.00 2007	170(c)	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
University of Utah Salt Lake City, UT 84112 <i>RBP4 Receptor Expression and Function in Human Adipose Tissue</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
University of Utah Salt Lake City, UT 84112 <i>Effects of Germline Mutations within the Ras Pathway on Bone Remodeling</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
University of Washington Seattle, WA <i>HHV-8 Replication and Progression to Malignancy in Africa</i> \$405,000.00 2005	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Vanderbilt University 2201 West End Ave Nashville, TN 37240 <i>Invertional Mitagenesis in the Progression of ATLL</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Vanderbilt University 2201 West End Ave Nashville, TN 37240 <i>Neurogenesis in Tuberosus Sclerosis Complex</i> \$405,000.00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable
Vanderbilt University 2201 West End Ave Nashville, TN 37240 <i>The Fogarty International Clinical Research Scholars & Fellows Support Center's Global Health Research Trainee Alumni Symposium</i> \$54,000.00 2009	501(c)3	\$0	\$54,000	\$0	\$54,000	\$0	Not Applicable
Washington University 660 S. Euclid Ave St. Louis, MO 63110 <i>Effect of Diet-Induced Weight Loss on HIV-Associated Metabolic Syndrome</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL
SHOW ANY RELATIONSHIP TO A
FOUNDATION MANAGER OR
SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR
Washington University 660 S. Euclid Ave St. Louis, MO 63110 <i>Understanding brain injury and development in at risk infants to improve outcomes</i> \$1,500,000.00 2008	501(c)3	\$375,000	\$0	\$0	\$0	\$375,000	Not Applicable
Yale University New Haven, CT 06520-8047 <i>Tissue Engineered Conduits for Congenital Heart Surgery</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Yale University New Haven, CT 06520-8047 <i>The Genetic Etiology of Atherosclerosis and Metabolic Syndrome</i> \$115,074.32 2008	501(c)3	\$135,000	\$0	(\$135,000)	\$0	\$0	Not Applicable
Yale University New Haven, CT 06520-8047 <i>Glutamate in OCD: A Novel Perspective on Pathophysiology and T. purpura</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
Yale University New Haven, CT 06520-8047 <i>Regulation of Fc gamma receptors in immune thrombocytopenic purpura</i> \$405,000.00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable
Strategy and Planning		\$12,510,000	\$8,284,300	(\$270,000)	\$12,591,200	\$7,933,100	
Council on Foundations Inc. 2121 Crystal Dr Ste 700 Arlington, VA 22202 <i>Membership</i> \$22,500.00 2009	501(c)3	\$0	\$22,500	\$0	\$22,500	\$0	Not Applicable
Foundation Center 79 Fifth Avenue New York, NY 10003 <i>To support core operations and services</i> \$15,000.00 2009	501(c)3	\$0	\$15,000	\$0	\$15,000	\$0	Not Applicable
Grantmakers for Effective Organizations 1725 DeSales St NW Ste 404 Washington, DC 20036 <i>Membership</i> \$6,000.00 2009	501(c)3	\$0	\$6,000	\$0	\$6,000	\$0	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR
Grants Managers Network Inc 1101 14th St NW, Suite 420 Washington, DC 20005 <i>Membership</i> \$3,750.00 2009	501(c)3	\$0	\$3,750	\$0	\$3,750	\$0	Not Applicable
Independent Sector 1602 L St NW, Ste 900 Washington, DC 20036 <i>Membership</i> \$6,250.00 2009	501(c)3	\$0	\$6,250	\$0	\$6,250	\$0	Not Applicable
National Public Radio Inc 635 Massachusetts Ave NW Washington, DC 20001-3753 <i>Support for NPR news programming</i> \$500,000.00 2009	501(c)3	\$0	\$500,000	\$0	\$0	\$500,000	Not Applicable
Technology Affinity Group Inc 23 Briar Road Wayne, PA 19087 <i>Membership</i> \$1,500.00 2009	501(c)3	\$0	\$1,500	\$0	\$1,500	\$0	Not Applicable
Total Strategy and Planning		\$0	\$555,000	\$0	\$55,000	\$500,000	

Grand Total	\$64,442,397	\$76,801,060	(\$302,970)	\$59,580,312	\$81,360,175
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DDCF - REFUNDS RECEIVED

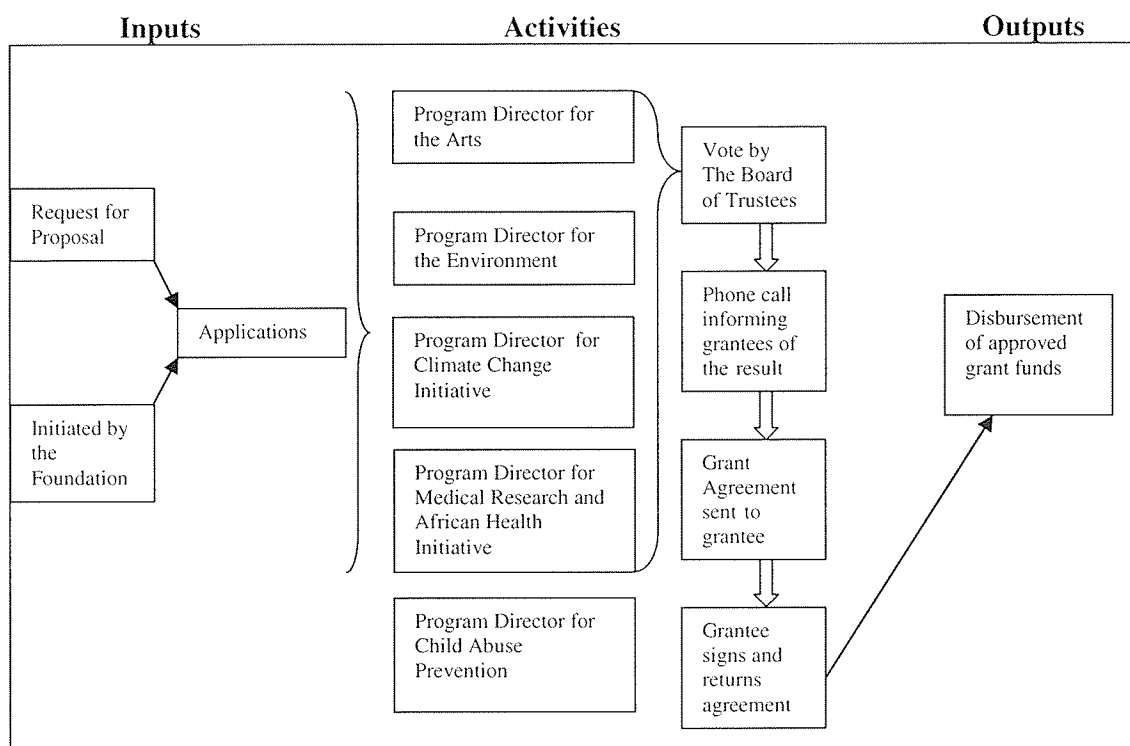
Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
African Health						
Trustees of Columbia University in the City of New York New York, NY	501(c)3	\$0.00	\$0.00	(\$52,846.00)	(\$52,846.00)	\$0.00
<i>Lexoho PHIT Partnership: A Proposal to Strengthen Health and Support Primary Care and District Health Systems</i>						
\$97,154.00 2008						
Health Alliance International						
1107 NE 45th St., Suite 427 Seattle, WA 98105	501(c)3	\$0.00	\$0.00	(\$38,367.00)	(\$38,367.00)	\$0.00
<i>Strengthening Integrated Primary Health Care in Sofala Province, Mozambique</i>						
\$101,396.00 2008						
Johns Hopkins University						
1615 Thames St Baltimore, MD 21231	501(c)3	\$0.00	\$0.00	(\$26,232.00)	(\$26,232.00)	\$0.00
<i>IMARISHA - Improving Regional Integrated Systems for Health Accessibility in Ruvuma, Tanzania</i>						
\$123,768.00 2008						
Medical Care Development Incorporated						
11 Parkwood Dr Augusta, ME 04330-6252	501(c)3	\$0.00	\$0.00	(\$2,915.00)	(\$2,915.00)	\$0.00
<i>Integrated Primary Health Care in the Region Atsimo Andrefana, Madagascar</i>						
\$147,085.00 2008						
Board of Trustees of the University of Alabama						
Birmingham, AL 35233	501(c)3	\$0.00	\$0.00	(\$13,329.24)	(\$13,329.24)	\$0.00
<i>Clinical Mentoring and Community Engagement to Improve Health Outcomes</i>						
\$136,670.76 2008						
Total African Health		\$0.00	\$0.00	(\$133,689.24)	(\$133,689.24)	\$0.00
Climate Change						
United Nations Foundation						
1800 Massachusetts Ave NW 4 Flr Washington, DC 20036-1806	501(c)3	\$0.00	\$0.00	(\$100,000.00)	(\$100,000.00)	\$0.00

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>Research and analysis to develop "Climate Innovation Centers" for consideration at the UN climate conference</i> \$100,000.00 2009		\$0.00	\$0.00	(\$100,000.00)	(\$100,000.00)	\$0.00
Total Climate Change (21 items)						
Environment						
Keystone Center 1628 Sts John Rd Keystone, CO 80435 <i>National Dialogue on Challenges and Opportunities: A Nationwide Listening Project and Conversation on Future Wildlife Conservation Investments</i> \$114,664.00 2007	501(c)3	\$0.00	\$0.00	(\$39,315.00)	(\$39,315.00)	\$0.00
Regents of the University of Michigan Ann Arbor, MI 48109-1115 <i>To administer Doris Duke Conservation Fellowships for two additional classes of fellows</i> \$549,850.90 2002	501(c)3	\$0.00	\$0.00	(\$149.10)	(\$149.10)	\$0.00
Total Environment (24 items)						
		\$0.00	\$0.00	(\$39,464.10)	(\$39,464.10)	\$0.00
Medical Research						
Brigham & Women's Hospital Inc 75 Francis Street Boston, MA 02115 <i>Clinical Translational Approaches to Therapy of Myeloid Blood Diseases</i> \$1,197,008.00 2002	501(c)3	\$0.00	\$0.00	(\$52,992.00)	(\$52,992.00)	\$0.00
Dana-Farber Cancer Institute 44 Binney Street Boston, MA 02115 <i>Establishment of a Diagnostic and Treatment Center for Fanconi Anemia</i> \$1,496,396.13 2000	501(c)3	\$0.00	\$0.00	(\$3,603.87)	(\$3,603.87)	\$0.00
Health Alliance International 1107 NE 45th St., Suite 427 Seattle, WA 98105	501(c)3	\$0.00	\$0.00	(\$1,568.00)	(\$1,568.00)	\$0.00

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>HAAART Delivery Models: A Quasi-Experimental Study</i> \$198,432.00 2005						
Johns Hopkins University 1615 Thames St Baltimore, MD 21231 <i>Infrastructure, research training support for the Rakai Research Collaboration</i> ## 2003	501(c)3	\$0.00	\$0.00	(\$476.38)	(\$476.38)	\$0.00
Trustees of Indiana University Indianapolis, IN 46634 <i>Extending HIV Care Beyond the Rural Health Center</i> \$198,108.10 2005	501(c)3	\$0.00	\$0.00	(\$1,891.90)	(\$1,891.90)	\$0.00
Johns Hopkins University Baltimore, MD 21205 <i>Do peer counselors promote adherence to ARVs?</i> \$92,477.86 2006	501(c)3	\$0.00	\$0.00	(\$107,522.14)	(\$107,522.14)	\$0.00
Yale University New Haven, CT 06520-8047 <i>Provision of HIV care, antiretroviral therapy and prevention through a community based program in South Africa</i> \$249,953.15 2004	501(c)3	\$0.00	\$0.00	(\$6,246.85)	(\$6,246.85)	\$0.00
Yale University New Haven, CT 06520-8047 <i>The Genetic Etiology of Atherosclerosis and Metabolic Syr.</i> \$115,074.32 2008	501(c)3	\$0.00	\$0.00	(\$154,925.68)	(\$154,925.68)	\$0.00
Total Medical Research (96 items)		\$0.00	\$0.00	(\$329,226.82)	(\$329,226.82)	\$0.00
Grand Total - REFUNDS		\$0.00	\$0.00	(\$602,380.16)	(\$602,380.16)	\$0.00

**Doris Duke Charitable Foundation
Grants Process
December 31, 2009**



The mission of the Doris Duke Charitable Foundation (DDCF) is to improve the quality of people’s lives through grants supporting the performing arts, environmental conservation, medical research and prevention of child maltreatment, and through preservation of the cultural and environmental legacy of Doris Duke’s properties.

Grant Development and Approval

Grants are either competitively awarded through Request for Proposal (RFP) processes or are initiated by the Foundation. Grants and proposed grant competitions are presented to the Board of Trustees at one of the four meetings that take place during the year. All grants must be approved by the Board of Trustees with the exception of the Program Fund, Trustee recommended grants, and grants to be selected through competitive, peer-reviewed program initiatives. In the latter case, the Board approves a total amount for the program initiative and delegates to DDCF’s President Edward Henry the authority to approve grants recommended through the peer-review selection process. The Board has authorized the Medical Research Program’s career-ladder award competitions (Clinical Scientist Development Awards, Distinguished Clinical Scientist Awards) to be conducted, with funding requested from the Board following the competition for the number of grants recommended by review panel (in accordance with the MRP budget). Authority for Program Fund (PF) grants is sought from the Board each November for the following year. PF grants must be for purposes that are in keeping with the mission of the Foundation; the amount of these grants is limited both on a per-grant basis (\$100,000 or less) and cumulative annual basis for each program (up to 5% of each Program’s annual payout budget may be awarded as Program Fund grants). Additionally, the Board authorized the President to

approve up to \$500,000 in Program Fund grants for the Climate Change Initiative and for the African Health Initiative (with individual grants totaling no more than \$100,000), with funding to come each initiative's annual grants budgets. All Program Fund grants are developed by staff, undergo routine due diligence review, and are approved by the President. Each Program Fund grant totaling \$50,000 or more is discussed at a Staff Program Review meeting prior to approval. Finally, in 2009, one Trustee of the Foundation recommends grants totaling up to \$100,000 annually for projects that are consistent with the mission of the Foundation and three Trustees recommend grants up to \$100,826.63; the projects and organizations must be vetted by staff and approved by the Board Chair prior to grant award.

Edward Henry (President) is actively involved in the entire grants cycle. Prior to performing all the research on a potential grantee, the Program Director discusses the organization or proposed project with Mr. Henry. Based on this discussion, the research will begin or the project will be set aside.

Once a completed grant application is returned to DDCF, the Program Director and staff members review it to ensure that the organization meets DDCF requirements and that the program description is in line with DDCF's mission and program objectives. The application is also reviewed by the Grants Manager and Program Associate for Grants Administration to ensure that all the proper documentation has been received and the organization is a qualified not-for-profit. Each proposed grant is entered into GIFTS for Windows, the Foundation's grants management database.

Once all the submitted application has been reviewed and approved, the Program Director compiles a docket for presentation to the Board of Directors that describes the potential grantee organization and its proposed use of funds. Prior to the Board meeting, the Program Director presents the information to senior management and program staff at a Program Review session and at a "practice" session for the Board meeting. During the meeting the senior staff critiques the written summary and the presentation, and the appropriate changes are made.

At the next Board meeting, the docket will be presented to the Board for their approval. The Board's decisions regarding proposed grants are documented in the Board of Director Minutes. After a grant has been approved by the board, Grants Administration staff records the grant as "Approved" in GIFTS and the GIFTS system assigns a grant number. Grants Administration then sends a report detailing the grants approved to Finance and the Senior Accountant/Assistant Treasurer prepares a journal entry to record the liability.

Grant Award

Once the Board approves the grant, the appropriate DDCF staff member notifies the organization by telephone. The Program Associate for Grants Administration generates a draft grant agreement using the appropriate GIFTS template (grant agreement templates are stored in GIFTS and merged with the GIFTS grant data to create the grant agreement). The Program Director and Grants Manager review and refine the grant agreement until they are satisfied that it is complete. Once the Grants Manager has approved the grant agreement and award letter and verified that all grant documentation is in order, the agreement and award letter is forwarded to the President for signature.

After the President signs the award letter and the grant agreement, the award package is sent to the grantee organization. The grant agreement specifies the total funds awarded, payment schedule, use of the funds, performance and financial reporting requirements, and various other disclosures regarding DDCF's rights (for example, to publicly announce the grant). If the grantee organization agrees to the terms of the agreement an authorized representative of the organization

will sign and return the agreement to the DDCF (two originals of the agreement are sent and the grantee organization retains one original for their records). When the fully executed grant agreement is received by the Foundation, the first grant payment is initiated by Grants Administration and forwarded to Finance for processing.

Grant Payment Process

Once the Grants Manager has determined that all requirements for a grant payment have been met, the grant payment process is initiated.

The Grants Assistant confirms that ACH instructions are still correct and updates the GIFTS record if necessary. Then, Grants Administration exports the grantee data from GIFTS to an Excel spreadsheet which is emailed to the Investment Accountant for upload to the Lawson Process Flow. Once the information is uploaded into Lawson and released (with links to the fully executed grant agreement & the payment authorization) an e-mail is sent to the applicable approvers, alerting them that an invoice requires approval in the Lawson system. Once the approvals have been made, an ACH file is generated via Lawson & transmitted to Bank of America via a secured transmission website. The transmission is then verified with the bank via a phone call to an ACH automated phone system. Once the payment has been sent, the grantee and appropriate program staff are alerted by email that the ACH has been executed.

Grant signing authority requirements:

Grant Payment Amount	Requirements	Signatories
between \$0 and \$9,999.99	Require any one signer listed	Peter Simmons Eileen Oberlander Edward Henry Deborah Close Jeffrey Heil Betsy Fader
between \$10,000 and \$99,999.99	Require any two signers listed	Peter Simmons Eileen Oberlander Ed Henry Deborah Close Jeffrey Heil Betsy Fader
Equal to or over \$100,000	Require two of the following individuals	Peter Simmons Ed Henry Eileen Oberlander

Grant Monitoring

Within each grant agreement the grantee agrees to provide DDCF with certain interim and final financial and program performance information. The time period for this submission varies from grant to grant. To ensure that the DDCF funds are being used in accordance with the grant agreement, the grantee is required to submit the following information:

- A narrative describing program accomplishments, challenges, and future plans
- An assessment of the project to date (have the original goals been achieved, were any program refinements necessary?)

- Copies of any literature, reports, or media coverage that was generated as a result of the grant
- A copy of the most recent audited financial statement
- A budget to actual comparison of use of the grant funds (indicating any budget modifications and explanations for budget variances)
- If a multi-year grant, a revised budget and budget narrative for the upcoming year (if warranted)
- The grantee is also required to notify DDCF of any changes to their board, senior staff, or tax-exempt status.

This information is required whether the grant period is one year or multi-year. If the grant is multi-year, the subsequent disbursements will not be made until this information has been received, reviewed, and approved by DDCF.

This information will be submitted to DDCF and reviewed by the Program Director and the Grants Manager to ensure that the grantee has been using DDCF's funds for the purpose outlined in their grant agreement. This includes determining if certain project milestones have been met, whether funds have been spent reasonably and within program guidelines, and whether the project has benefited the items/individuals targeted in the agreement. Additionally, the financial information is reviewed by the Grants Manager or Program Associate for Grants Management, and either the Program Director or Program Officer – and, as necessary, by a member of the Finance Department – to ensure that the grantee is not experiencing any financial difficulties.

Once this information has been evaluated and approved by DDCF, the payment request is prepared for multi-year grants or, for single year grants, the file will be closed.

Computer information systems

The Foundation uses GIFTS for Windows, a grants management database system purchased from MicroEdge, Inc., to track detailed information about each application for funding and each grant approved. All applications received are entered into the database and their status is tracked according to their progress through the review, approval or declination, and disbursement and reporting process. The database is also used to generate grant related documentation, including (but not limited to) grant award letters and grant agreements, payment request memoranda, and reporting review checklists. GIFTS also provides reports that enable the user to project the upcoming disbursements, determine when financial or other reporting information is required, track grant expiration, and track activities related to each grant.